

# **EXHIBIT 13**

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August 30, 2001

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Washington, D.C. 20231

Attorney Docket Number: 017.40169X00  
Customer Number: 020457

Sir:

Attached please find the application papers of **Tapani LARIKKA and Jari JUOPPERI**, covering new and useful improvements in a **MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE** comprising:

Specification, (47) Claims, and Abstract of the Disclosure (19 pages)

(3) Sheets of Drawings Showing Figures 1-3

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Declaration and Power of Attorney (2 pgs)

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Request for Certification

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Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

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PTO/SB/35 (11-00)

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**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Tapani LARIKKA

Title

Message Transfer From a Source  
Device via a Mobile Terminal  
Device to a Third Device

Atty Docket Number

017.40169X00

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 30, 2001

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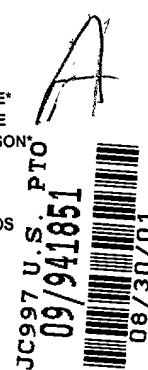
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**NC 19140  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Title: Message Transfer From a Source Device Via a Mobile  
Terminal Device to a Third Device**

**Inventors:**

**Tapani LARIKKA  
Jari JUOPPERI**

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FOOED " F58T4660

**Title: Message Transfer From a Source Device Via a Mobile Terminal Device to a Third Device**

**BACKGROUND OF THE INVENTION:**

**Field of the Invention**

**[0001]** The present invention relates to transferring messages between devices. More particularly, the present invention relates to transferring SyncML (Synchronization Markup Language) messages from a source device via a mobile terminal device to a third device.

**Description of the Related Art**

**[0002]** Data synchronization between terminal devices is an issue in which each vendor has traditionally had their own proprietary solution. Each vendor developed their own synchronization protocol as well as internal data representations for each application. Due to the proprietary nature of such systems, the products of different vendors have been unable to communicate with each other without specially designed single purpose converters.

**[0003]** These non-interoperable solutions have complicated the tasks of users, manufacturers, service providers, and developers. Furthermore, the proliferation of different proprietary data synchronization protocols have placed barriers to the extended use of mobile terminals and has restricted data access and delivery and limited the mobility of the users.

**[0004]** In view of the above, several mobile terminal manufacturers joined together into an organization to create a new industry initiative to develop and promote a

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single, common, data synchronization protocol, namely, SyncML, that can be used everywhere, by every device or terminal and in every application.

**[0005]** As noted on the Web site of the above-noted organization, [www.syncml.org](http://www.syncml.org), SyncML is a common language which has been developed for synchronizing devices and applications over any network. SyncML leverages XML (Extensible Markup Language), that is, a SyncML message is an XML document, and with SyncML, network information can be synchronized with any mobile device or terminal and mobile information can be synchronized with any networked application or applications. With SyncML, any personal information, such as e-mail, calendars, to-do lists, contact information and other relevant data, etc., will be consistent, accessible, and up-to-date, no matter where the information is stored. For example, a calendar entry made to a mobile device or terminal on a business trip is equally available to a secretary in the network calendar.

**[0006]** At present, the SyncML standard contains three different transport alternatives for sending SyncML messages. These alternatives are HTTP (Hyper-Text Transfer Protocol), WSP (Web System Protocol), and OBEX (Object Exchange Protocol).

**[0007]** While each of these alternatives are usable, they all have the same defect, namely, all of these alternatives require the use of a continuous data connection between the mobile device or terminal and the network.

**[0008]** Furthermore, there are personal portable server devices presently available which only have short range connection capabilities, such as IR (Infrared) or Bluetooth. Accordingly, at present, they are unable to synchronize data with remotely located terminals which are out of their limited communication range.

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**Summary of the Invention**

**[0009]** Modern-day mobile terminals, such as mobile telephones, have been provided with the SMS (Short Message Service) capability. SMS allows a single short message of up to one hundred sixty characters of text in length to be sent from a sender to a recipient. However, rather than requiring a continuous data connection, the SMS is a store and forward service, that is, short messages are not sent directly from sender to recipient but rather are sent via an intermediary SMS center instead. Each mobile telephone network that supports SMS, for example, has one or more SMS messaging centers to handle and manage SMS messages. Many such modern-day mobile terminals include IR or Bluetooth capability which allows them to communicate with the aforementioned personal portable servers.

**[0010]** Accordingly, it is an object of the present invention to utilize the short range communication capability capability of a mobile device or terminal device as a transport mechanism to forward SyncML messages from a source device or terminal device to another terminal device.

**Brief Description of the Drawings**

**[0011]** The foregoing and a better understanding of the present invention will become apparent from the following detailed description of example embodiments and the claims when read in connection with the accompanying drawings, all forming a part of the disclosure of this invention. While the foregoing and following written and illustrated disclosure focuses on disclosing example embodiments of the invention, it should be clearly understood that the same as by way of illustration and example only and that the invention is not limited thereto. The spirit and scope of the present invention are limited only by the terms of the appended claims.

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**[0012]** The following represents brief descriptions of the drawings, wherein:

**[0013]** Figure 1 is a block diagram illustrating an example of a system to which the present invention may be applied.

**[0014]** Figure 2 illustrates a SyncML message being inserted into an SMS message in accordance with an example embodiment of the present invention.

**[0015]** Figure 3 illustrates a SyncML message being split up and inserted into a plurality of SMS messages in accordance with an example embodiment of the present invention.

#### **Detailed Description**

**[0016]** Before beginning a detailed description of the subject invention, mention of the following is in order. When appropriate, like reference numerals and characters may be used to designate identical, corresponding, or similar components in differing drawing figures. Furthermore, in the detailed description to follow, example sizes/model/values/ranges may be given, although the present invention is not limited thereto. Where specific details are set forth in order to describe example embodiments of the invention, it should be apparent to one skilled in the art that the invention can be practiced without, or with variations of, these specific details. Finally, it should be apparent that differing combinations of hard-wired circuitry and software instructions can be used to implement embodiments of the present invention, that is, the present invention is not limited to any specific combination of hardware and software.

**[0017]** Figure 1 is a block diagram illustrating an example of a system to which the present invention can be applied. As illustrated in Figure 1, a mobile terminal 100, such as a cell phone, is connected to a mobile network 120 such that data in various

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formats, e.g.-SMS, GPRS, WAP, may be transmitted therebetween. The mobile network 120 is connected to a gateway 130 which is in turn connected via the Internet 140 to a remote server 150. Accordingly, the mobile terminal 100 may communicate with the remote server 150 bidirectionally via the mobile network 120, gateway 130, and Internet 140. A personal server 160, for example, is connected to the mobile terminal 100 via a short range connection such as Bluetooth or IR.

**[0018]** Thus, the mobile terminal 100 in effect operates as a modem for the personal server 160 so as to allow the personal server 160 to forward messages, such as data to be synchronized, to the remote server 150 via the mobile network 120 and gateway 130 and Internet 140.

**[0019]** As mentioned previously, the widespread use of mobile terminal devices has increased demand for a technique for synchronizing such mobile terminal devices with both other mobile terminal devices and fixed terminal devices. For example, a salesman who is away from his office may wish to update his calendar and business contacts address book on his mobile terminal device and to then update, that is, synchronize, his calendar and business contacts address book on his office computer. He may also wish to synchronize his calendar on his office network so that his secretary and his supervisor can be aware of his schedule.

**[0020]** As also mentioned previously, modern-day mobile terminals are now being provided with SMS capability. SMS allows a sender to send a short message to a recipient via an SMS center. To use the SMS service a user needs a subscription to a mobile telephone network that supports SMS. In addition, the use of SMS must be enabled for that user, for example, automatic access to the SMS is provided by some mobile network operators while others charge a monthly subscription to use the service. The user would require a mobile terminal that supports SMS and a knowledge of how to

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send or receive an SMS message on that mobile terminal. Lastly, the user must know a destination to send the SMS message to or to receive the SMS message from. The destination may be another mobile terminal or a PC or an Internet address.

**[0021]** As noted above, the SyncML standard presently contains three different transport alternatives for sending SyncML messages, namely, HTTP, WSP, and OBEX. All these alternatives require the use of a continuous data connection between the mobile terminal and the telephone network.

**[0022]** On the other hand, in the present invention, it is proposed that a fourth transport alternative to be provided for sending SyncML messages namely, the SMS network. As shown in Figure 2, it is proposed that the SyncML message of a user be inserted into an SMS message and sent to a recipient via the SMS network. Since modern-day mobile terminals already include SMS capability and since SMS centers are already in place and operating, no new technology or equipment is needed to send SyncML messages via the SMS network.

**[0023]** Furthermore, since SMS is a store and forward service, short messages are not sent directly from the sender to the recipient via a continuous data connection but rather are sent via an SMS center instead. This increases the reliability and reduces the operating costs.

**[0024]** The only disadvantage of using SMS is that a single SyncML message is limited to one hundred and sixty characters. However, the use of WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoding allows for a compact binary representation of XML (Extensible Markup Language), allowing more effective use of XML data on narrowband communication channels, thereby allowing a SyncML message to possibly be reduced in size so as to fit within the size limitation of



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the SMS message. Furthermore, other data compression techniques could also be used to reduce the size of a SyncML message.

**[0025]** Furthermore, should it be impossible to limit the SyncML message to one hundred and sixty characters, as illustrated in Figure 3, it is possible to split a SyncML message into two or more portions and transmit the SyncML message using two or more SMS messages. It is noted that SMS standards provide for sending multiple short messages which are to be combined by the recipient.

**[0026]** It is also to be noted that the SyncML standard includes two different mandatory MIME (Multipurpose Internet Mail Extensions) types, namely, vcal, a format for synchronizing calendars and vcard, a format for synchronizing business cards (that is, a business contact address book). This allows for further standardization between terminals of different manufacturers. Other MIME types are presently not supported nor requested, thus basically reducing the use of SyncML for only these two MIME types. More information about MIME can be found in RFC2045-RFC2049. These RFCs may be found on the Web site: [www.nacs.uci.edu/indiv/ehood/MIME/MIME.html](http://www.nacs.uci.edu/indiv/ehood/MIME/MIME.html), among others.

**[0027]** As noted above, provision is made for sending SyncML messages in excess of the one hundred and sixty character limitation of single SMS messages. This may be automatically provided for by the SMS programming in the mobile terminal. Alternatively, the SyncML message may be transmitted by one of the other three available transport alternatives, namely, HTTP, WSP, and OBEX, or any other available transport alternative.

**[0028]** This concludes the description of the example embodiments. Although the present invention has been described with reference to a number of illustrative embodiments thereof, should be understood that numerous other modifications and

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embodiments can be devised by those skilled in the art that will fall within the spirit and scope of the principles of this invention. More particularly, reasonable variations and modifications are possible in the component parts and/or arrangements of the subject combination arrangement within the scope of the foregoing disclosure, the drawings, and the appended claims without departing from the spirit of the invention. In addition to variations and modifications in the component parts and/or arrangements, alternative uses will also be apparent to those skilled in the art.

**[0029]** Furthermore, the specific details of the SMS protocol, SyncML protocol, WBXML, and MIME protocol have not been included in the present specification for the sake of brevity. It is understood that these industrywide protocols are readily available and the details thereof are incorporated by reference herein in their entirety.

What is claimed is:

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Claims:

1. A method of transferring a data message between a first terminal device and a second terminal device via an intermediate terminal device, the method comprising:

entering a data message into the first terminal device;

transmitting the data message from the first terminal device to the intermediate terminal device;

formatting the data to be synchronized into at least one SMS (Short Message Service) message in the intermediate terminal device; and

transmitting the at least one SMS message from the intermediate terminal device to the second terminal device.

2. The method of claim 1, wherein formatting the data message comprises formatting the data in a SyncML format.

3. The method of claim 1, wherein the intermediate terminal device comprises a mobile terminal device.

4. The method of claim 3, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center.

5. The method of claim 4, wherein the at least one SMS message is transmitted from the intermediate terminal device to the second terminal device via the mobile network and a gateway and the Internet.

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7. The method of claim 6, wherein the compressed SMS message comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.

9. The method of claim 8, wherein the two MIME formats comprise vcal and vcard formats.

11. The method of claim 1, wherein the data message is transferred from the first terminal device to the intermediate terminal device via a short range communication link.

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13. A mobile terminal device comprising:
- a data message receiver to receive a data message from a first terminal device via a short range communication link;
  - a formatter to format the received data message into at least one SMS (Short Message Service) message; and
  - a transmitter to transmit the at least one SMS message to another terminal device.
14. The device of claim 13, wherein the formatter formats the data in a SyncML format.
15. The device of claim 13, wherein the at least one SMS message formatted by the formatter comprises a compressed SMS message.
16. The device of claim 15, wherein the compressed SMS message formatted by the formatter comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.
17. The device of claim 13, wherein the data formatted by the formatter in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.
18. The device of claim 17, wherein the two MIME formats formatted by the formatter comprise vcal and vcard.

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19. The device of claim 13, wherein the data comprises one of a calendar, a to-do list, personal information, and contact information.
20. The device of claim 13, wherein the data receiver receives data messages via one of an IR (Infrared) or Bluetooth communication link.
21. A program storage device, readable by machine, tangibly embodying a program of instructions executable by machine to perform a method of transferring a data message between a first terminal device and a second terminal device via an intermediate terminal device, the method comprising:
- entering a data message into the first terminal device;
  - transmitting the data message from the first terminal device to the intermediate terminal device;
  - formatting the data to be synchronized into at least one SMS (Short Message Service) message in the intermediate terminal device; and
  - transmitting the at least one SMS message from the intermediate terminal device to the second terminal device.
22. The device of claim 21, wherein formatting the data message comprises formatting the data in a SyncML format.
23. The device of claim 21, wherein the intermediate terminal device comprises a mobile terminal device.

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24. The device of claim 23, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center.
25. The device of claim 24, wherein the at least one SMS message is transmitted from the intermediate terminal device to the second terminal device via the mobile network and a gateway and the Internet.
26. The device of claim 21, wherein the at least one SMS message comprises a compressed SMS message.
27. The device of claim 26, wherein the compressed SMS message comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.
28. The device of claim 22, wherein the data formatted in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.
29. The device of claim 28, wherein the two MIME formats comprise vcal and vcard formats.
30. The device of claim 21, wherein the data message comprises one of a calendar, a to-do list, personal information, and contact information.

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31. The device of claim 21, wherein the data message is transferred from the first terminal device to the intermediate terminal device via a short range communication link.

32. The device of claim 31, wherein the short range communication link comprises one of an IR (Infrared) or Bluetooth communication link.

33. A method of transferring data between a first terminal device and a second terminal device via an intermediate terminal device, the method comprising:

entering data into the first terminal device;

transmitting the data from the first terminal device to the intermediate terminal device;

formatting the data into at least one message in the intermediate terminal device;

transmitting the least one message from the intermediate terminal device to a message center; and

transmitting the at least one message from the message center to the second terminal device.

34. The method of claim 33, wherein formatting the data into at least one message comprises formatting the data into at least one SMS (Short Message Service) message.

35. The method of claim 34, wherein the message center comprises an SMS message center.



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36. The method of claim 33, wherein the data is transmitted from the first terminal device to the intermediate terminal device via a short range communication link.

37. The method of claim 34, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.

38. A program storage device, readable by machine, tangibly embodying a program of instructions executable by machine to perform a method of transferring data between first and second terminal devices via an intermediate terminal device, the method comprising:

entering data into the first terminal device;

transmitting the data from the first terminal device to the intermediate terminal device;

formatting the data into at least one message in the intermediate terminal device;

transmitting the least one message from the intermediate terminal device to a message center; and

transmitting the at least one message from the message center to the second terminal device.

39. The device of claim 38, wherein formatting the data into at least one message comprises formatting the data into at least one SMS (Short Message Service) message.

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40. The device of claim 38, wherein the message center comprises an SMS message center.
41. The device of claim 38, wherein the data is transmitted from the first terminal device to the intermediate terminal device via a short range communication link.
42. The device of claim 39, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.
43. The method of claim 1, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.
44. The device of claim 13, further comprising a receiver to receive at least one other SMS message from the another terminal device and a data message transmitter to transmit the at least one other SMS message to the first terminal device.
45. The device of claim 21, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.
46. The method of claim 33, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

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47. The device of claim 38, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

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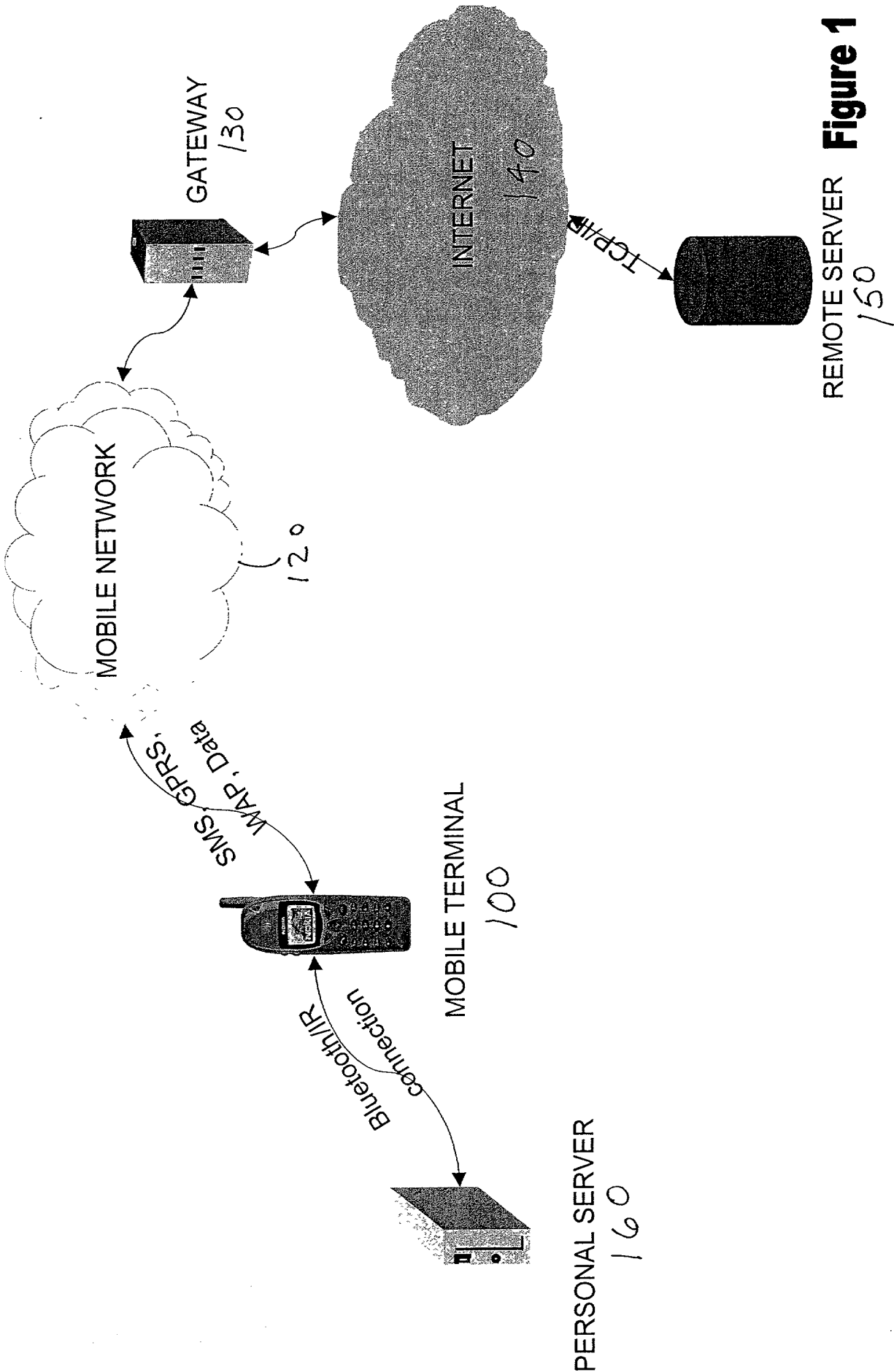
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Abstract of the Disclosure

A technique for transferring a data message between a first terminal device and a second terminal device via an intermediate terminal device includes: entering a data message into the first terminal device; transmitting the data message from the first terminal device to the intermediate terminal device; formatting the data message into at least one SMS (Short Message Service) message in the intermediate terminal device; and transmitting the at least one SMS message from the intermediate terminal device to the second terminal device. Formatting the data message may include formatting the data in a SyncML format. The intermediate terminal device may include a mobile terminal and the data message may be transferred from the first terminal device to the intermediate terminal device via a short range communication link which may include an IR or Bluetooth communication link

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**Figure 1**

Socioeconomic characteristics		Health status		Healthcare utilization		Healthcare costs	
Variable	Mean (SD)	Variable	Mean (SD)	Variable	Mean (SD)	Variable	Mean (SD)
Age (years)	65.2 (10.5)	Gender	Male	Number of visits	1.2 (0.8)	Outpatient costs	\$1,234 (567)
Marital status	Married	Female		Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
Education (years)	12.1 (2.3)	Health status	Good	Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
Income (USD)	25,000 (15,000)	Fair		Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
Health insurance	Medicare	Poor		Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
		Very poor		Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
		Unknown		Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
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				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
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				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	\$3,579 (1,801)
				Number of hospitalizations	0.3 (0.5)	Outpatient costs	\$1,234 (567)
				Inpatient costs	\$2,345 (1,234)	Total costs	

SyncML message is inserted into SMS message

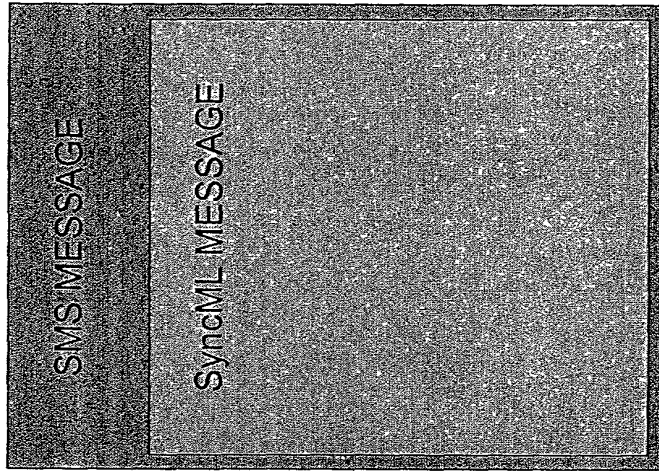


Figure 2



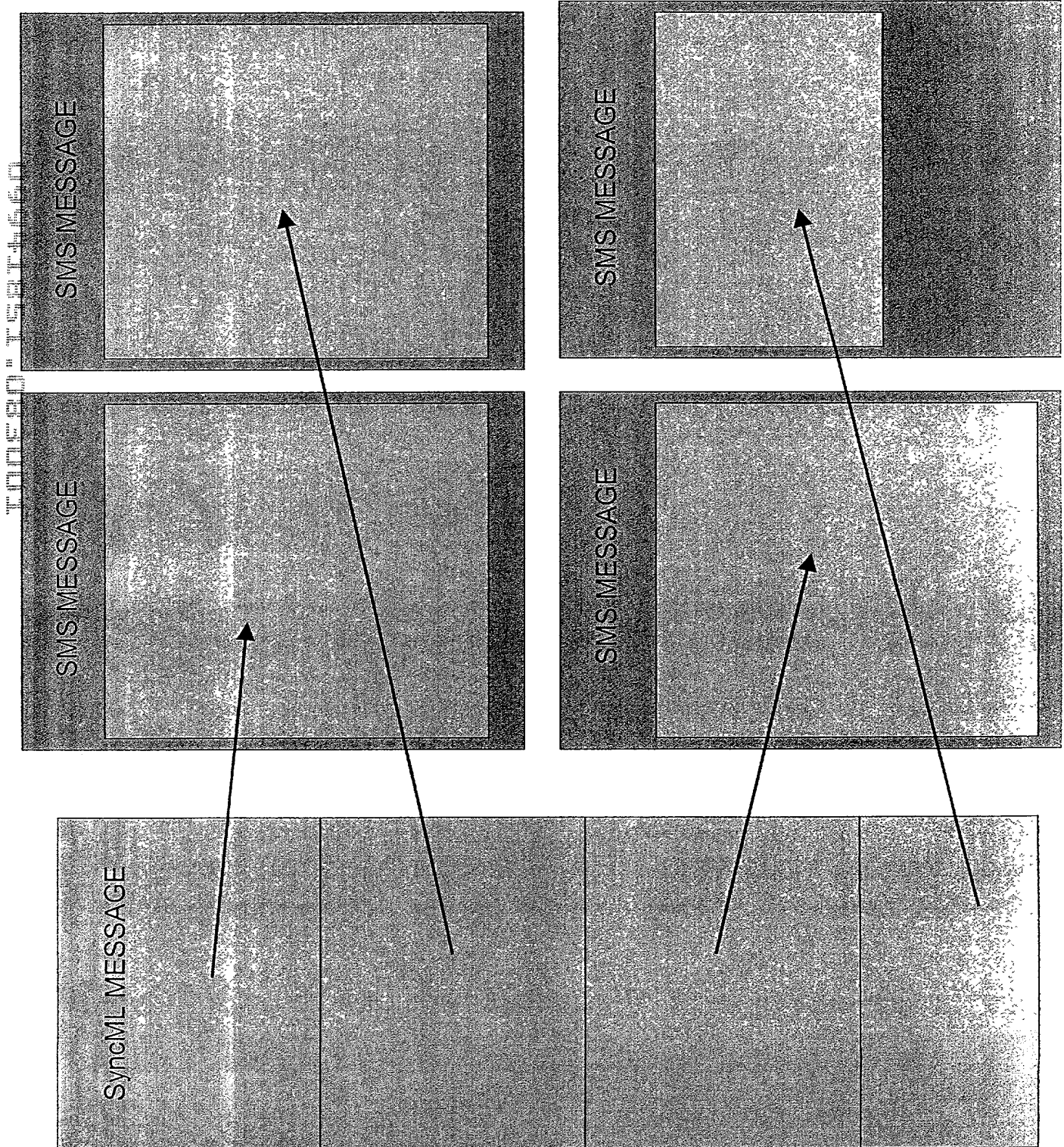


Figure 3



## DECLARATION AND POWER OF ATTORNEY - PATENT APPLICATION

**MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL  
DEVICE TO A THIRD DEVICE**

\_\_\_\_\_ was filed on \_\_\_\_\_ as Application  
Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

Provisional and/or Foreign Application(s)	Priority Claimed
---	------------------

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
----------	-----------	------------------------	-----	----

(Application Serial No.)	(Filing Date)	(Status-patented, pending)
--------------------------	---------------	----------------------------



or abandoned.)

I hereby appoint as principal attorneys: Donald R. Antonelli, Reg. No. 20,296; David T. Terry, Reg. No. 20,178; Melvin Kraus, Reg. No. 22,466; William I. Solomon, Reg. No. 28,565; Gregory E. Montone, Reg. No. 28,141; Ronald J. Shore, Reg. No. 28,577; Donald E. Stout, Reg. No. 26,422; Alan E. Schiavelli, Reg. No. 32,087; James N. Dresser, Reg. No. 22,973; Carl I. Brundidge, Reg. No. 29,621; Paul J. Skwierawski, Reg. No. 32,173; and Robert M. Bauer, Reg. No. 34,487, to prosecute and transact all business in the Patent and Trademark Office connected with this application and any related United States and international applications.

Please Direct all Communications to:

Direct Telephone Calls To:

Antonelli, Terry, Stout & Kraus, LLP  
Suite 1800  
1300 North Seventeenth Street  
Arlington, Virginia 22209

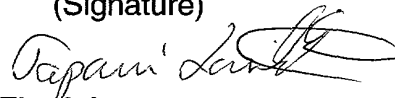
(703) 312-6600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(Full Name)

(Signature)

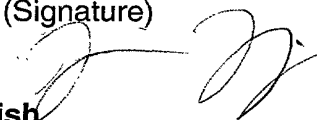
Date Aug. 6, 2001 Inventor **Tapani LARIKKA**  
Residence **Vesilahti, Finland** Citizenship **Finnish**  
Post Office Address **Katajarinne 2, 37470 Vesilahti, FINLAND**



(Full Name)

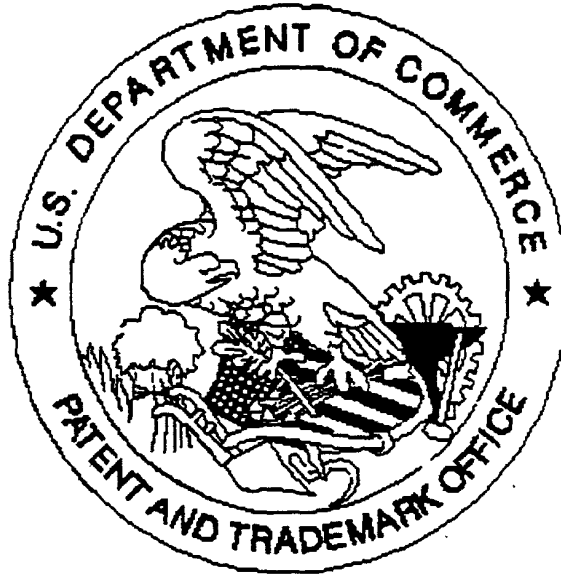
(Signature)

Date Aug. 6, 2001 Inventor **Jari JUOPPERI**  
Residence **Helsinki, Finland** Citizenship: **Finnish**  
Post Office Address **Bronintie 6 D 18, 02400 Kirkkonummi, FINLAND**



09941351 083001

United States Patent & Trademark Office  
Office of Initial Patent Examination -- Scanning Division



Application deficiencies found during scanning:

☐ Page(s) \_\_\_\_\_ of \_\_\_\_\_ were not present  
for scanning. (Document title)

☐ Page(s) \_\_\_\_\_ of \_\_\_\_\_ were not present  
for scanning. (Document title)

☐ *Scanned copy is best available. Drawings pag 2 and 3  
are very dark.*



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 7553

<b>SERIAL NUMBER</b> 09/941,851	<b>FILING DATE</b> 08/30/2001 <b>RULE</b>	<b>CLASS</b> 455	<b>GROUP ART UNIT</b> 2681	<b>ATTORNEY DOCKET NO.</b> 017.40169X00
<b>APPLICANTS</b> Tapani Larikka, Vesilahti, FINLAND; Jari Juopperi, Helsinki, FINLAND; <b>** CONTINUING DATA *****</b> No @ <b>** FOREIGN APPLICATIONS *****</b> No @				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> ** 10/01/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance (W)		<b>STATE OR COUNTRY</b> FINLAND	<b>SHEETS DRAWING</b> 3	<b>TOTAL CLAIMS</b> 47
Verified and Acknowledged Examiner's Signature _____ Initials _____			<b>INDEPENDENT CLAIMS</b> 5	
<b>ADDRESS</b> Antonelli, Terry, Stout & Kraus, LLP Suite 1800 1300 North Seventeenth Street Arlington, VA 22209				
<b>TITLE</b> Message transfer from a source device via a mobile terminal device to a third device				
<b>FILING FEE RECEIVED</b> 1356	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

09/04/2001 NMOHAMM1 00000026 09941851

01 FC:101	710.00	OP
02 FC:103	486.00	OP
03 FC:102	80.00	OP

10/01/2001 SDUONG 00000054 012135 09941851

01 FC:102	80.00	CH
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# PATENT APPLICATION FEE DETERMINATION RECORD

**Effective October 1, 2000**

Application or Docket Number

017.40169X00

## CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

TOTAL CLAIMS	47	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	47 minus 20 =	* 27
INDEPENDENT CLAIMS	15 minus 3 =	* 2
MULTIPLE DEPENDENT CLAIM PRESENT		<input type="checkbox"/>

\* If the difference in column 1 is less than zero, enter "0" in column 2

## CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	*	Minus	**	=
	Independent	*	Minus	***	=
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>				

**SMALL ENTITY**  
**TYPE** ☐

OR

OTHER THAN  
SMALL ENTITY

RATE	FEE		RATE	FEE
BASIC FEE	355.00	OR	BASIC FEE	710.00
X\$ 9=		OR	X\$18=	486
X40=		OR	X80=	180
+135=		OR	+270=	
TOTAL		OR	TOTAL	1356

## SMALL ENTITY

OR

**OTHER THAN  
SMALL ENTITY**

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X40=		OR	X80=	
+135=		OR	+270=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

AMENDMENT B	(Column 1)	(Column 2)	(Column 3)
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	*	Minus	**
Independent	*	Minus	***
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			<input type="checkbox"/>

AMENDMENT C	(Column 1)	(Column 2)	(Column 3)
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	*	Minus
Independent	*	Minus	***
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

**\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."**

\*\*\*If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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CLAIMS ONLY							SERIAL NO. <b>09941851</b>	FILING DATE			
							APPLICANT(S)				
CLAIMS											
	AS FILED		AFTER 1st AMENDMENT		AFTER 2nd AMENDMENT			*			
	IND.	DEP.	IND.	DEP.	IND.	DEP.		IND.	DEP.		IND.
1	/						51				
2		/					52				
3		/					53				
4		/					54				
5		/					55				
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43		/					93				
44		/					94				
45		/					95				
46		/					96				
47		/					97				
48		/					98				
49							99				
50							100				
TOTAL IND.	45						TOTAL IND.				
TOTAL DEP.	42						TOTAL DEP.				
TOTAL CLAIMS	47						TOTAL CLAIMS				

\* MAY BE USED FOR ADDITIONAL CLAIMS OR ADMENDMENTS

FORM PTO-2022 (1-98)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/941,851	08/30/2001	Tapani Larikka	017.40169X00

CONFIRMATION NO. 7553

## FORMALITIES LETTER



\*OC000000006828530\*

Antonelli, Terry, Stout & Kraus, LLP  
Suite 1800  
1300 North Seventeenth Street  
Arlington, VA 22209

Date Mailed: 10/02/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

03C0



017.40169X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Tapani LARIKKA, ET AL  
Serial No. : 09/941,851  
Filed : August 30, 2001  
For : MESSAGE TRANSFER FROM A SOURCE DEVICE VIA  
A MOBILE TERMINAL DEVICE TO A THIRD DEVICE

**SUBMISSION OF FORMAL DRAWINGS**

Assistant Commissioner of Patents  
Washington, D.C. 20231

November 1, 2001

Sir:

Applicants, through their attorney, submit **three (3)** sheets of formal drawing illustrating Figs. 1-3 in connection with the above-identified application.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

By:

Robert F. Gnuse  
Registration No. 27,295

RFG:HMZ:dmw

Phone: (703) 312-6600  
Fax: (703) 312-6666  
E-mail hzykorie@antonelli.com

00487-ADA



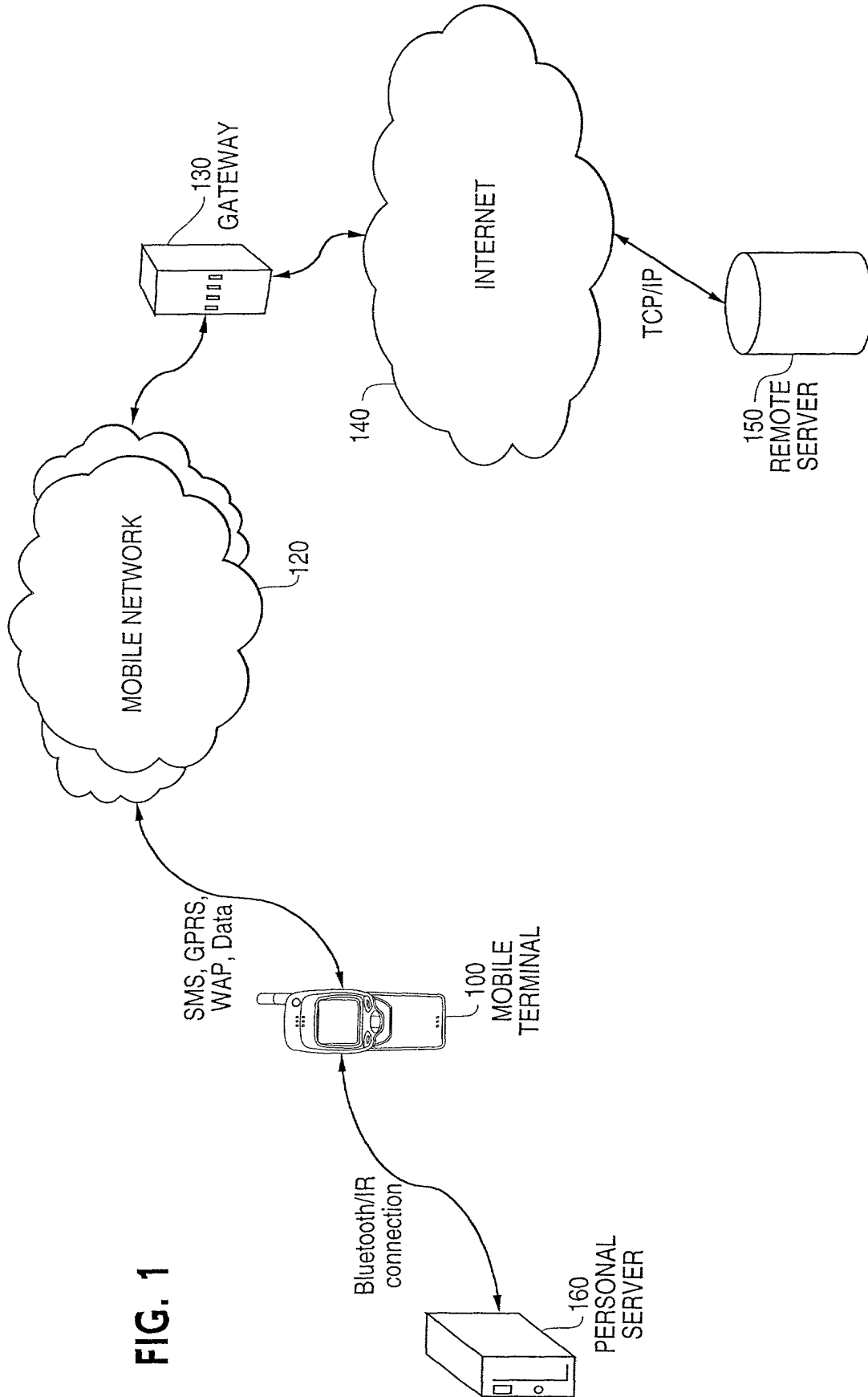


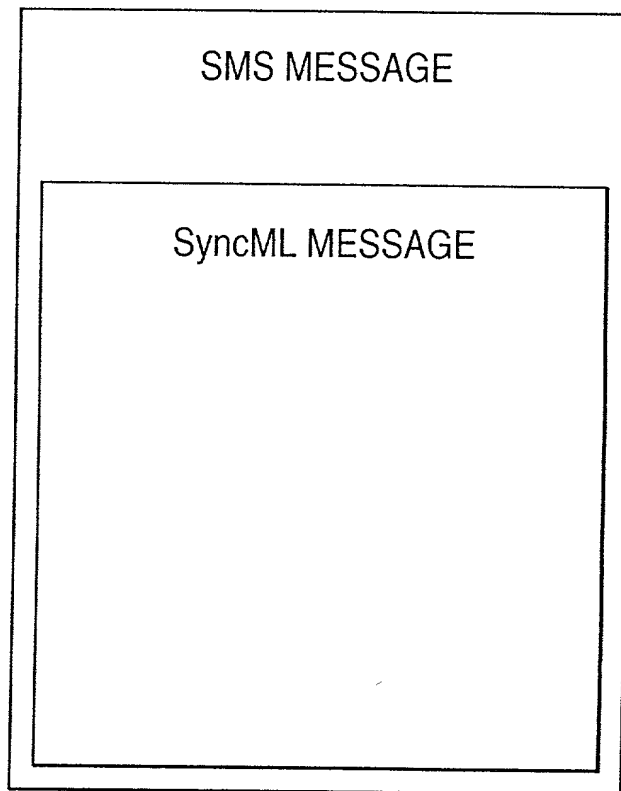
FIG. 1

FIG. 1

ANTONELLI, TERRY, STOUT & KRAUS, LLP 703-312-6600  
U.S. Application No. 09/941,851 of T. LARIKKA, ET AL. filed August 30, 2001  
Title: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE  
TERMINAL DEVICE TO A THIRD DEVICE  
Attorney Docket No. 017.40169X00

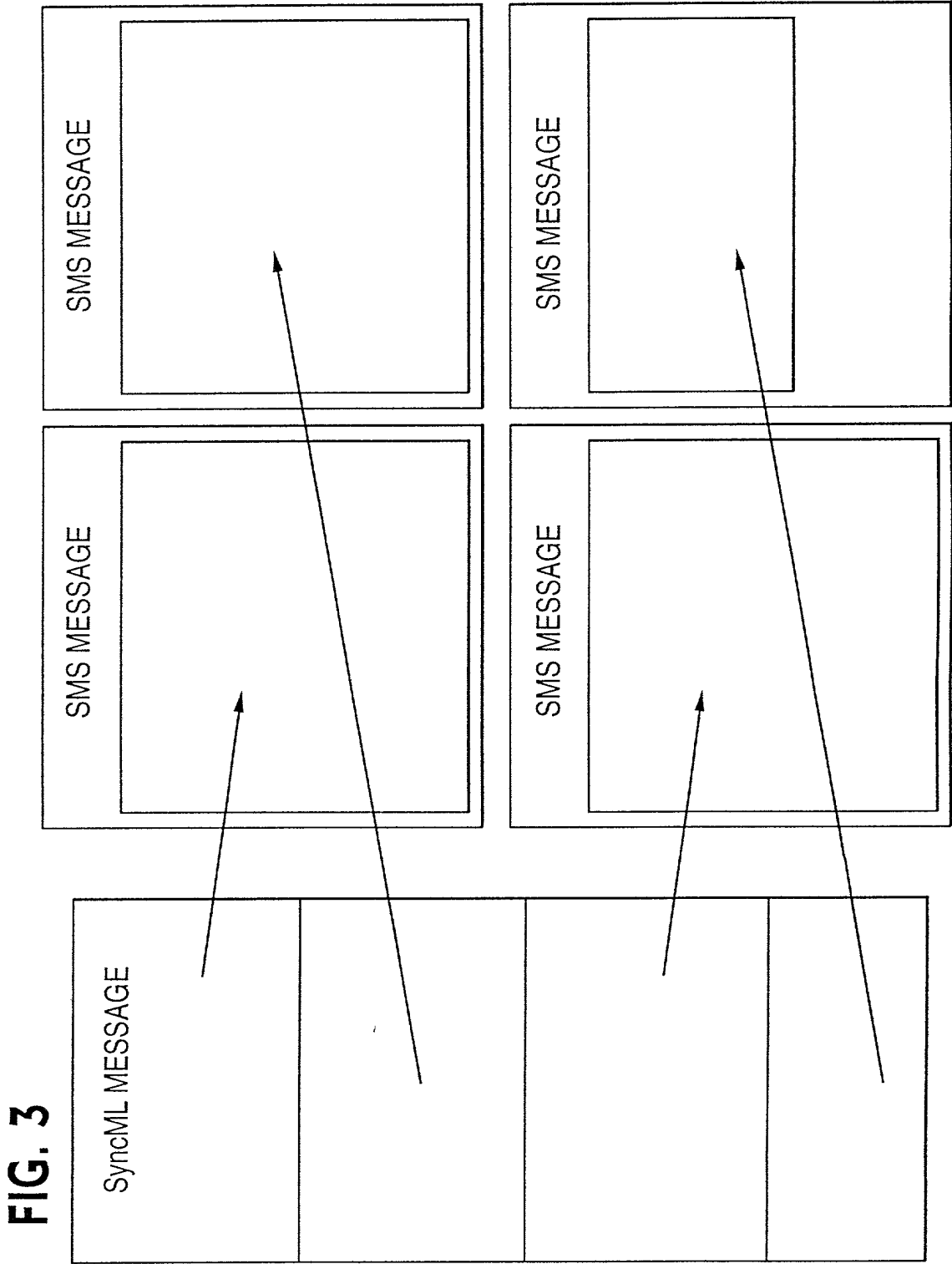
## FIG. 2

SyncML message is inserted into SMS message



09/941,851-1001

ANTONELLI, TERRY, STOUT & KRAUS, LLP 703-312-6600  
U.S. Application No. 09/941,851 of T. LARIKKA, ET AL. filed August 30, 2001  
Title: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE  
TERMINAL DEVICE TO A THIRD DEVICE  
Attorney Docket No. 017.40169X00



703-312-6600

Application or Docket Number

017.40169X00

**PATENT APPLICATION FEE DETERMINATION RECORD**

Effective October 1, 2000

**CLAIMS AS FILED - PART I**

(Column 1)

(Column 2)

TOTAL CLAIMS	47	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	47 minus 20=	27
INDEPENDENT CLAIMS	5 minus 3=	2
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

SMALL ENTITY  
TYPE ☐OR OTHER THAN  
SMALL ENTITY

RATE	FEE
BASIC FEE	355.00
X\$ 9=	
X40=	
+135=	
TOTAL	

RATE	FEE
BASIC FEE	710.00
X\$18=	486
X80=	180
+270=	
TOTAL	1356

\* If the difference in column 1 is less than zero, enter "0" in column 2

**CLAIMS AS AMENDED - PART II**

(Column 1)

(Column 2)

(Column 3)

AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	47	Minus	47	=
	Independent	5	Minus	5	=
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>				

SMALL ENTITY OR

OTHER THAN  
SMALL ENTITY

RATE	ADDI- TIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDI- TIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

(Column 1)

(Column 2)

(Column 3)

AMENDMENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total		Minus	**	=
	Independent		Minus	***	=
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>				

RATE	ADDI- TIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDI- TIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

(Column 1)

(Column 2)

(Column 3)

AMENDMENT C		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total		Minus	**	=
	Independent		Minus	***	=
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>				

RATE	ADDI- TIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDI- TIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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2687



0171.40169X00

#4  
S.F.  
01-05-04

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

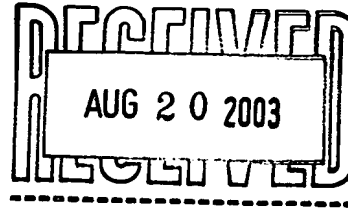
Applicants: T. LARIKKA, et al.

Serial No.: 09/941,851

Filed: August 30, 2001

For: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A  
MOBILE TERMINAL DEVICE TO A THIRD DEVICE

Group: 2681



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Technology Center 2600

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

August 18, 2003

Sir:

In the matter of the above-identified application, applicants are submitting herewith for the Examiner's consideration copies of documents cited in a communication from a foreign patent office in a counterpart foreign application. The documents are listed on the attached form equivalent to Form PTO-1449.

The documents listed in this Information Disclosure Statement were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

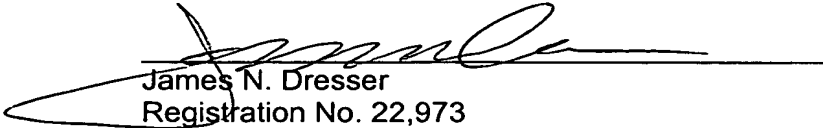
Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Case: 0171.40169X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP



James N. Dresser  
Registration No. 22,973

JND/kmh  
(703) 312-6600  
Attachments

Sheet 1 of 1

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO.

0171.40169X00

SERIAL NO.

09/941,851

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(Use several sheets if necessary)

APPLICANT

T. LARIKKA, et al.

FILING DATE

August 30, 2001

GROUP

2681

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA	6,301,471	10/09/01	Dahm et al.			11/02/98
AB	6,275,575	08/14/01	Wu			01/12/00
AC	6,501,956	12/31/02	Weeren et al.			10/17/00
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## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation /Abstract	
						Yes	No
AM							
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AUG 19 2003

Technology Center 2600

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AU	
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AZ	
Examiner	Date Considered



71



## UNITED STATES PATENT AND TRADEMARK OFFICE

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 United States Patent and Trademark Office  
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	08/30/2001	Tapani Larikka	017.40169X00	7553
7590	12/16/2004		EXAMINER	
Antonelli, Terry, Stout & Kraus, LLP			CHOW, MING	
Suite 1800				
1300 North Seventeenth Street			ART UNIT	
Arlington, VA 22209			PAPER NUMBER	
			2645	

DATE MAILED: 12/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/941,851

Applicant(s)

LARIKKA ET AL.

Examiner

Ming Chow

Art Unit

2645

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --****Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 30 August 2001.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-47 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-47 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)               | Paper No(s)/Mail Date. _____  |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                                    |

Application/Control Number: 09/941,851

Page 2

Art Unit: 2645

### *Specification*

1. The disclosure is objected to because it contains an embedded hyperlink and/or other form of browser-executable code on section [0005] page 2. Applicant is required to delete the embedded hyperlink and/or other form of browser-executable code. See MPEP § 608.01.

### *Drawings*

2. The drawings are objected to because proper legends were missing, for example (not intend to be a complete listing), arrows on Fig. 3. A proposed drawing correction or corrected drawings are required in reply to the Office Action to avoid abandonment of the application.

The objection to the drawings will not be held in abeyance.

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Application/Control Number: 09/941,851

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3. Claims 1, 3, 13, 21, 23, 33, 34, 38, 39, 11, 12, 20, 31, 32, 36, 37, 41, 42, 43-47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi (US: 6633759), and in view of Jokimies (US: 5353328).

Regarding claims 1, 3, 13, 21, 23, 33, 34, 38, 39, Kobayashi teaches on column 13 line 62 to column 14 line 6 message data is entered in the PC (claimed “first terminal device”) and send the message data to the cellular phone (claimed “intermediate terminal device”).

Kobayashi failed to teach “formatting the data to be synchronized into at least one SMS message in the intermediate terminal device”. However, Jokimies teaches on Abstract – an adapter for a mobile phone to convert received data into SMS for transmitting.

Kobayashi teaches on column 14 line 26-32 transmit the received data from the intermediate terminal to a third terminal (claimed “second terminal device”).

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi to have the formatting the data to be synchronized into at least one SMS message in the intermediate terminal device as taught by Jokimies such that the modified intermediate terminal of Kobayashi would be able to support the formatting data message into SMS to the system users.

Regarding claims 11, 12, 20, 31, 32, 36, 37, 41, 42, Kobayashi teaches on column 4 line 55-64 the PC (claimed “first terminal device”) and the cellular phone (claimed “intermediate terminal”) are connected via bluetooth interface (claimed “a short range communication link”).

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Regarding claims 43-47, Kobayashi teaches on column 14 line 25-31 the data can be transmitted and received among multiple devices (reads on claimed “from the second terminal device to the first terminal device”).

4. Claims 2, 14, 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Sutinen et al (US: 2002/0161769).

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach “formatting the data message comprises formatting the data in a SyncML format”. However, Sutinen et al teach on Fig. 3 and section [0005] – data in SyncML format for synchronization.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the formatting the data message comprises formatting the data in a SyncML format as taught by Sutinen et al such that the modified system of Kobayashi, Jokimies would be able to support the SyncML format data to the system users.

5. Claims 4, 24, 35, 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Lohtia et al (US: 6560456).

Regarding claim 4, 24, 35, 40, 5, 25, Kobayashi in view of Jokimies as stated in claim 1 above failed to teach “SMS message center”. However, Lohtia et al teach on item 16 Fig. 1 and column 12 line 20 a SMS message center.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the SMS message center as taught by Lohtia et al such that the

Application/Control Number: 09/941,851

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modified system of Kobayashi, Jokimies would be able to support the SMS message center to the system users.

Regarding claims 5, 25, Kobayashi in view of Jokimies, Lohtia et al as stated in claim 4 above failed to teach “the at least one.....and the internet”. However, Lohtia et al teach on item 304 Fig. 3 MSC (claimed “mobile network”), item 302 Fig. 3 WWIS Gateway, item 303 Fig. 3 Internet.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Johtia et al to have the at least one.....and the internet as taught by Lohtia et al such that the modified system of Kobayashi, Jokimies, Lohtia et al would be able to support the mobile network, gateway, and Internet for transmitting SMS messages to the system users.

6. Claims 6, 15, 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Alanara et al (US: 6097961).

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach “the at least.....SMS message”. However, Alanara et al teach on column 15 line 24-25 a controller on the mobile station to compress SMS messages.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the at least.....SMS message as taught by Alanara et al such that the modified system of Kobayashi, Jokimies would be able to support the compressed SMS message to the system users.

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7. Claims 7, 16, 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Alanara et al, Corneliussen et al (US: 2004/0048603).

Kobayashi in view of Jokimies, Alanara et al as stated in claim 6 above failed to teach “the compressed SMS.....encoded message”. However, Corneliussen et al teach on section [0041} SMS server builds WBXML messages.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Alanara et al to have the “the compressed SMS.....encoded message” as taught by Corneliussen et al such that the modified system of Kobayashi, Jokimies, Alanara et al would be able to support the WBXML encoded message to the system users.

8. Claims 8, 17, 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Sutinen et al, Schmidt et al (US: 2003/0078890).

Kobayashi in view of Jokimies, Sutinen et al as stated in claim 2 above failed to teach “the data formatted.....formats”. However, Schmidt et al teach on section [0298] SyncML MIME data format.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Sutinen et al to have the “the data formatted.....formats” as taught by Schmidt et al such that the modified system of Kobayashi, Jokimies, Sutinen et al would be able to support the SyncML MIME data format to the system users.

9. Claims 9, 18, 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Sutinen et al, Schmidt et al, Winarski (US: 2002/0123307).



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Kobayashi in view of Jokimies, Sutinen et al, Schmidt et al as stated in claim 8 above failed to teach “the two.....vcard formats”. However, Winarski teaches on section [0035] vcal and vcard formats of information.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Sutinen et al, Schmidt et al to have the “the two.....vcard formats” as taught by Winarski such that the modified system of Kobayashi, Jokimies, Sutinen et al, Schmidt et al would be able to support the vcal and vcard data formats to the system users.

10. Claims 10, 19, 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies.

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach “the data message.....contact information”. However, “Official Notice” is taken that content of data message is a decide choice.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the “the data message.....contact information” such that the modified system of Kobayashi, Jokimies would be able to support the calendar, to-do list, personal information, or contact information to the system users.

### *Conclusion*

11. The prior art made of record and not replied upon is considered pertinent to applicant’s disclosure.

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- Chen et al (US: 2002/0177453) teach mobile device server.

12. Any inquiry concerning this application and office action should be directed to the examiner Ming Chow whose telephone number is (703) 305-4817. The examiner can normally be reached on Monday through Friday from 8:30 am to 5 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fan Tsang, can be reached on (703) 305-4895. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Customer Service whose telephone number is (703) 306-0377. Any response to this action should be mailed to:

**Commissioner of Patents and Trademarks**

**Washington, D.C. 20231**

**Or faxed to Central FAX Number 703-872-9306.**

Patent Examiner

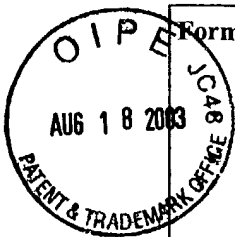
Art Unit 2645

Ming Chow



**FAN TSANG**  
**SUPERVISORY PATENT EXAMINER**  
**TECHNOLOGY CENTER 2600**

Sheet 1 of 1



Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO.

0171.40169X00

SERIAL NO.

09/941,851

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(Use several sheets if necessary)

APPLICANT

T. LARIKKA, et al.

FILING DATE

August 30, 2001

GROUP

2681

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AA	6,301,471	10/09/01	Dahm et al.			11/02/98
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AC	6,501,956	12/31/02	Weeren et al.			10/17/00
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## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation /Abstract	
						Yes	No
AM							
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AU	
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AZ	
Examiner	Date Considered
	12-3-04

**Notice of References Cited**

Application/Control No.

09/941,851

Applicant(s)/Patent Under  
Reexamination  
LARIKKA ET AL.

Examiner

Ming Chow

Art Unit

2645

Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,633,759	10-2003	Kobayashi, Koichi	455/419
	B	US-5,353,328	10-1994	Jokimies, Matti	455/558
	C	US-6,560,456	05-2003	Lohtia et al.	455/445
	D	US-6,097,961	08-2000	Alanara et al.	455/466
	E	US-2002/0161769	10-2002	Sutinen et al.	707/10
	F	US-2004/0048603	03-2004	Corneliussen et al.	455/414.1
	G	US-2003/0078890	04-2003	Schmidt et al.	705/51
	H	US-2002/0123307	09-2002	Winarski, Tyson	455/41
	I	US-			
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**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



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## \*BIBDATASHEET\*

CONFIRMATION NO. 7553

Bib Data Sheet

SERIAL NUMBER 09/941,851	FILING DATE 08/30/2001  RULE	CLASS 455	GROUP ART UNIT 2645	ATTORNEY DOCKET NO. 017.40169X00
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## APPLICANTS

Tapani Larikka, Vesilahti, FINLAND;

Jari Juopperi, Helsinki, FINLAND;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 10/01/2001

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY FINLAND	SHEETS DRAWING 3	TOTAL CLAIMS 47	INDEPENDENT CLAIMS 5
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

## ADDRESS

Antonelli, Terry, Stout & Kraus, LLP  
 Suite 1800  
 1300 North Seventeenth Street  
 Arlington, VA  
 22209

## TITLE

Message transfer from a source device via a mobile terminal device to a third device

FILING FEE  RECEIVED 1356	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____
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## Credit

**Index of Claims**

Application No.

09/941,851

Examiner

Ming Chow

Applicant(s)

LARIKKA ET AL.

Art Unit

2645

✓	Rejected
=	Allowed

—	(Through numeral) Cancelled
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Claim		Date											
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**Search Notes**

Application No.

09/941,851

Examiner

Ming Chow

Applicant(s)

LARIKKA ET AL.

Art Unit

2645

**SEARCHED**

Class	Subclass	Date	Examiner
455	419 418 566 557	12-3-04	CHOW

**INTERFERENCE SEARCHED**

Class	Subclass	Date	Examiner

**SEARCH NOTES  
(INCLUDING SEARCH STRATEGY)**

	DATE	EXMR



0171.40169X00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: T. LARIKKA et al  
Application No.: 09/941,851  
Filed: August 30, 2001  
For: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A  
MOBILE TERMINAL DEVICE TO A THIRD DEVICE  
Art Unit: 2645  
Examiner: C. MING

**AMENDMENT**

**MS: AMENDMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

May 16, 2005

Sir:

In response to the Office Action dated December 16, 2004, the period of response for which extension is requested by the attached Petition for Extension of Time, please amend the above-identified application as listed below and as set forth on the following pages:

**Amendments to the Specification;**

**Amendments to the Claims;**

**Amendments to the Drawings describing changes to the drawings  
shown in the Appendix;**

**Remarks are included following the amendments; and**

**Appendix A including an amended drawing figure follows the remarks.**

Application No.: 09/341,851  
Art Unit: 2645

Dkt. 0171.40169X00  
Page 2 of 18

**AMENDMENTS TO THE SPECIFICATION**

**Please replace paragraph [0005] with the following amended paragraph:**

**[0005]** ~~As noted on the Web site of the above-noted organization,~~  
~~www.syncml.org,~~ SyncML is a common language which has been developed for synchronizing devices and applications over any network. SyncML leverages XML (Extensible Markup Language), that is, a SyncML message is an XML document, and with SyncML, network information can be synchronized with any mobile device or terminal and mobile information can be synchronized with any networked application or applications. With SyncML, any personal information, such as e-mail, calendars, to-do lists, contact information and other relevant data, etc., will be consistent, accessible, and up-to-date, no matter where the information is stored. For example, a calendar entry made to a mobile device or terminal on a business trip is equally available to a secretary in the network calendar.

**Please replace paragraph [0010] with the following amended paragraph:**

**[0010]** Accordingly, it is an object of the present invention to utilize the short range communication capability ~~capability~~ of a mobile device or terminal device as a transport mechanism to forward SyncML messages from a source device or terminal device to another terminal device.

Application No.: 09/341,851  
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### **AMENDMENTS TO THE CLAIMS**

This listing of claims will replace all prior versions, and listings, of claims in the application.

#### **Listing of Claims:**

1. (Currently Amended) A method of ~~transferring a data message~~  
synchronization between a first terminal device and a second, remotely located,  
terminal device via an intermediate terminal device, the method comprising:

~~entering a data message into~~ obtaining, at the first terminal device,  
data to be synchronized with the second remotely located terminal device;

transmitting the data message ~~from~~ the first terminal device to the  
intermediate terminal device through a short-range connection;

formatting the data to be synchronized into at least one SMS (Short  
Message Service) message in the intermediate terminal device; and

transmitting the at least one SMS message from the intermediate  
terminal device to the second remotely located terminal device through a cellular  
network connection.

2. (Original) The method of claim 1, wherein formatting the data message  
comprises formatting the data in a SyncML format.

3. (Original) The method of claim 1, wherein the intermediate terminal device  
comprises a mobile terminal device.

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4. (Original) The method of claim 3, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center.

5. (Currently Amended) The method of claim 4, wherein the at least one SMS message is transmitted from the intermediate terminal device to the second, remotely located, terminal device via the mobile network and a gateway and the Internet.

6. (Original) The method of claim 1, wherein the at least one SMS message comprises a compressed SMS message.

7. (Original) The method of claim 6, wherein the compressed SMS message comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.

8. (Original) The method of claim 2, wherein the data formatted in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.

9. (Original) The method of claim 8, wherein the two MIME formats comprise vcal and vcard formats.

10. (Original) The method of claim 1, wherein the data message comprises one of a calendar, a to-do list, personal information, and contact information.

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11. (Original) The method of claim 1, wherein the data message is transferred from the first terminal device to the intermediate terminal device via a short range communication link.

12. (Original) The method of claim 11, wherein the short range communication link comprises one of an IR (Infrared) or Bluetooth communication link.

13. (Currently Amended) A mobile terminal device comprising:

a data message receiver to receive a ~~data message~~ data from a first terminal device, which data is to be synchronized with a second, remotely located, terminal device via a short range communication link;

a formatter to format the received data message into at least one SMS (Short Message Service) message; and

a transmitter to transmit the at least one SMS message to ~~another~~ the second, remotely located, terminal device through a cellular network connection.

14. (Original) The device of claim 13, wherein the formatter formats the data in a SyncML format.

15. (Original) The device of claim 13, wherein the at least one SMS message formatted by the formatter comprises a compressed SMS message.

16. (Original) The device of claim 15, wherein the compressed SMS message formatted by the formatter comprises a WBXML (Wireless Application Protocol

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Binary Extensible Markup Language) encoded message.

17. (Original) The device of claim 13, wherein the data formatted by the formatter in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.

18. (Original) The device of claim 17, wherein the two MIME formats formatted by the formatter comprise vcal and vcard.

19. (Original) The device of claim 13, wherein the data comprises one of a calendar, a to-do list, personal information, and contact information.

20. (Original) The device of claim 13, wherein the data receiver receives data messages via one of an IR (Infrared) or Bluetooth communication link.

21. (Currently Amended) A program storage device, readable by machine, tangibly embodying a program of instructions executable by machine to perform a method of ~~transferring a data message~~ synchronization between a first terminal device and a second, remotely located, terminal device via an intermediate terminal device, the method comprising:

~~entering a data message into~~ obtaining, at the first terminal device,  
data to be synchronized with the second remotely located terminal device;

~~transmitting the data message from the first terminal device to the~~  
~~intermediate terminal device~~ through a short-range connection;



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formatting the data to be synchronized into at least one SMS (Short Message Service) message in the intermediate terminal device; and  
transmitting the at least one SMS message from the intermediate terminal device to the second remotely located terminal device through a cellular network connection.

22. (Original) The device of claim 21, wherein formatting the data message comprises formatting the data in a SyncML format.

23. (Original) The device of claim 21, wherein the intermediate terminal device comprises a mobile terminal device.

24. (Original) The device of claim 23, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center.

25. (Currently Amended) The device of claim 24, wherein the at least one SMS message is transmitted from the intermediate terminal device to the second remotely located terminal device via the mobile network and a gateway and the Internet.

26. (Original) The device of claim 21, wherein the at least one SMS message comprises a compressed SMS message.

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27. (Original) The device of claim 26, wherein the compressed SMS message comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.

28. (Original) The device of claim 22, wherein the data formatted in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.

29. (Original) The device of claim 28, wherein the two MIME formats comprise vcal and vcard formats.

30. (Original) The device of claim 21, wherein the data message comprises one of a calendar, a to-do list, personal information, and contact information.

31. (Original) The device of claim 21, wherein the data message is transferred from the first terminal device to the intermediate terminal device via a short range communication link.

32. (Original) The device of claim 31, wherein the short range communication link comprises one of an IR (Infrared) or Bluetooth communication link.

33. (Currently Amended) A method of ~~transferring data~~ synchronization between a first terminal device and a remotely located, second terminal device via an intermediate terminal device, the method comprising:

~~entering data into~~ obtaining, at the first terminal device , data to be

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synchronized with the second remotely located terminal device;

transmitting the data from the first terminal device to the intermediate  
terminal device through a short-range connection;

formatting the data into at least one SMS (Short Message Service)  
message in the intermediate terminal device;

transmitting the least one SMS message from the intermediate terminal  
device to a message center; and

transmitting the at least one message from the message center to the  
second remotely located terminal device through a cellular network connection.

**Claim 34. (Canceled without prejudice or disclaimer)**

35. (Original) The method of claim 34, wherein the message center  
comprises an SMS message center.

36. (Original) The method of claim 33, wherein the data is transmitted from the  
first terminal device to the intermediate terminal device via a short range  
communication link.

37. (Original) The method of claim 34, wherein the short range  
communication link comprises one of either an IR (Infrared) or Bluetooth  
communication link.

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38. (Currently Amended) A program storage device, readable by machine, tangibly embodying a program of instructions executable by machine to perform a method of ~~transferring data~~ synchronization between first and second remotely located terminal devices via an intermediate terminal device, the method comprising:

- ~~entering data into~~ obtaining, at the first terminal device, data to be  
synchronized with the second remotely located terminal device;
- transmitting the data from the first terminal device to the intermediate terminal device through short-range connection;
- formatting the data into at least one SMS (Short Message Service) message in the intermediate terminal device;
- transmitting the least one message from the intermediate terminal device to a message center; and
- transmitting the at least one message from the message center to the second remotely located terminal device through a cellular or network connection.

**Claim 39. (Canceled without prejudice or disclaimer.)**

40. (Original) The device of claim 38, wherein the message center comprises an SMS message center.

41. (Original) The device of claim 38, wherein the data is transmitted from the first terminal device to the intermediate terminal device via a short range communication link.

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42. (Original) The device of claim 39, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.

43. (Original) The method of claim 1, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.

44. (Original) The device of claim 13, further comprising a receiver to receive at least one other SMS message from the another terminal device and a data message transmitter to transmit the at least one other SMS message to the first terminal device.

45. (Original) The device of claim 21, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.

46. (Original) The method of claim 33, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

47. (Original) The device of claim 38, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

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**REMARKS**

Reconsideration and allowance of this application, as amended, is respectfully requested.

This Amendment is in response to the Office Action dated December 16, 2004. By the present Amendment, the Specification has been amended to delete the reference to the embedded hyperlink, as required in paragraph 1 of the Office Action. Also, the drawing has been amended to show that Fig. 3 includes all of the elements, as indicated by a bracket in the amended Fig. 3. Regarding this, it is noted that Fig. 3 illustrates how a SyncML message is split up and inserted into a plurality of SMS messages in accordance with the invention (e.g., see paragraph [0015]). As such, the arrows shown in Fig. 3 are necessary for illustrating how the different portions of the SyncML message are split up to place into individual SMS messages. This is described, for example, in paragraph [0025]. Accordingly, entry of the replacement drawing to illustrate the concepts discussed in paragraph [0015] and [0025], and removal of the objection to the drawings is respectfully requested.

Also by the present Amendment, the claims have been amended to clarify the invention as will be discussed below.

Briefly, the present invention is directed to an arrangement which allows for the synchronization of data using an arrangement such as SyncML between two terminals (e.g., such as 160 and 150 in Fig. 1) which are remote from each other, particularly when one of the terminals only has short-range communication capabilities (such as the personal server 160 shown in Fig. 1). As noted in paragraph [0006] of the Specification, previous systems synchronizing remote terminals using SyncML have typically used three different transport alternatives for

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**AMENDMENTS TO THE DRAWINGS**

The attached sheet includes changes to Fig. 3. This sheet replaces the original sheet showing Fig. 3. By the present amendment, Fig. 3 has been amended in response to the objection to the drawings set forth in the Office Action.

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sending the SynchML messages. These alternatives are HTTP, WFD or OBEX. However, as noted in paragraph [0007]:

"while each of these alternatives are usable, they all have the same defect, namely, all of these alternatives require the use of a continuous data connection between the mobile device or terminal and the network."

This has been a problem in many instances. In addition, as noted in paragraph [0008], current portable personal servers often only have short range communication capabilities, such as IR, or Bluetooth. As such, present personal portable servers typically are unable to synchronize data with remotely located terminals. Accordingly, the present invention is directed to overcoming this problem.

In particular, the present invention utilizes a terminal, such as the mobile terminal 100 in Fig. 1, which has SMS (Short Message Service) as an intermediary terminal between the terminal having only a short range communication capability (e.g., the personal server 160 of Fig. 1) and the remote terminal (e.g., 150 in Fig. 1). This is discussed, for example, in paragraph [0010] which states:

"Accordingly, it is an object of the present invention to utilize the short range communication capability of a mobile device or terminal device as a transport mechanism to forward SyncML messages from a source device or terminal device to another terminal device. "

Further, as noted in paragraph [0009], the use of SMS allows for a store and forward service, thus eliminating the requirement for a continuous data connection. Therefore, the present invention does not suffer from the drawbacks of requiring a continuous data connection for synchronization between terminals using, for example SyncML, which has been required in the past.

Reconsideration and allowance of claims 1 to 33, 35 to 38 and 40 to 47, as amended, over the various prior art rejections set forth in the Office Action based on the combination of Kobayashi (USP 6,633,759) and Jokimies (USP 5,353,328) is



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respectfully requested, whether considered alone or in combination with one another or the various other cited references to Sutinen (US2002/0161769), Lohtia et al (USP 6,560,456), Alanara et al (USP 6,097,961), Corneliussen et al (US 2004/0048603), Schmidt et al (US2003/0078890) or Winarski (US 2002/0123307). In regard to this, by the present Amendment, each of the independent claims has been amended to clarify the features of the present invention in providing data synchronization between remotely located devices, using the intermediate terminal to convert the data synchronization information into SMS, wherein the communication between the first terminal and the intermediate terminal is done through a short range connection, and wherein the communication between the intermediate terminal and the second terminal is done through a cellular network connection. It is respectfully requested that neither Kobayashi nor Jokimies, whether considered alone or in combination with one another or any of the other cited references, teach or suggest these specific claimed features. Incidentally, it is noted that the secondary reference to Sutinen et al is assigned to the same assignee Nokia Corporation, as the present application (and was commonly assigned at the time of filing of the present application). Inasmuch as the Sutinen et al reference is being used based on the combination of 35 USC §102(e)/103, this document is unavailable as a reference due to the common assignment of the Sutinen et al document and the present application.

With regard to the primary reference to Kobayashi, as recognized in the Office Action, this reference fails to teach the formatting of data to be synchronized into an SMS message and an intermediate terminal device. As such, Kobayashi lacks a fundamental feature of the present invention, that is, the reformatting of the

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synchronization data into an SMS message. In addition, Kobayashi fails to teach or suggest the synchronization between two remotely located data terminals, wherein one of the data terminals transmits to an intermediate device through a short range connection while the intermediate device transfers to the second remotely located terminal through a cellular network connection.

In the Office Action, the reference to Jokimies is used for teaching the use of a mobile phone for converting received data into SMS for transmitting. Jokimies is directed to a data adapted for a mobile phone which provides an arrangement to convert received data into the SMS format to enable the phone to be used for receiving/transmitting data messages other than normal SMS messages. However, again, Jokimies gives no suggestion whatsoever for an arrangement to provide data synchronization between a first terminal having only a short-range communication link and a remote terminal using an intermediate terminal to convert the data to be synchronized into an SMS message. Therefore, it is respectfully submitted that, even if Jokimies and Kobayashi were combined, the resulting combination would still fail to teach or suggest the present claimed invention.

With regard to the various secondary references, although these are of general interest to various isolated features, it is noted that none of them teach or suggest anything that would overcome the basic shortcomings in Kobayashi and Jokimies, or the combination thereof, discussed above. Therefore, it is respectfully submitted that the amended claims clearly define over the cited prior art, and reconsideration and allowance of the claims, as amended, is respectfully requested.

If the Examiner believes that there are any other points which may be clarified or otherwise disposed of either by telephone discussion or by personal interview, the

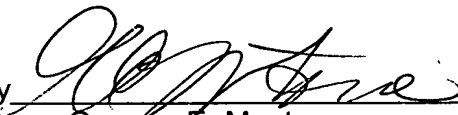
Application No.: 09/341,851  
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Examiner is invited to contact Applicants' undersigned attorney at the number indicated below.

To the extent necessary, Applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Antonelli, Terry, Stout & Kraus, LLP Deposit Account No. 01-2135 (Docket No. 0171.40169X00), and please credit any excess fees to such deposit account.

Respectfully submitted,  
**ANTONELLI, TERRY, STOUT & KRAUS, LLP**

By   
\_\_\_\_\_  
Gregory E. Montone  
Reg. No. 28,141

GEM/dks

N:\0171\40169X00\AMD\BU7782.DOC

1300 North Seventeenth Street, Suite 1800  
Arlington, Virginia 22209  
Telephone: (703) 312-6600  
Facsimile: (703) 312-6666



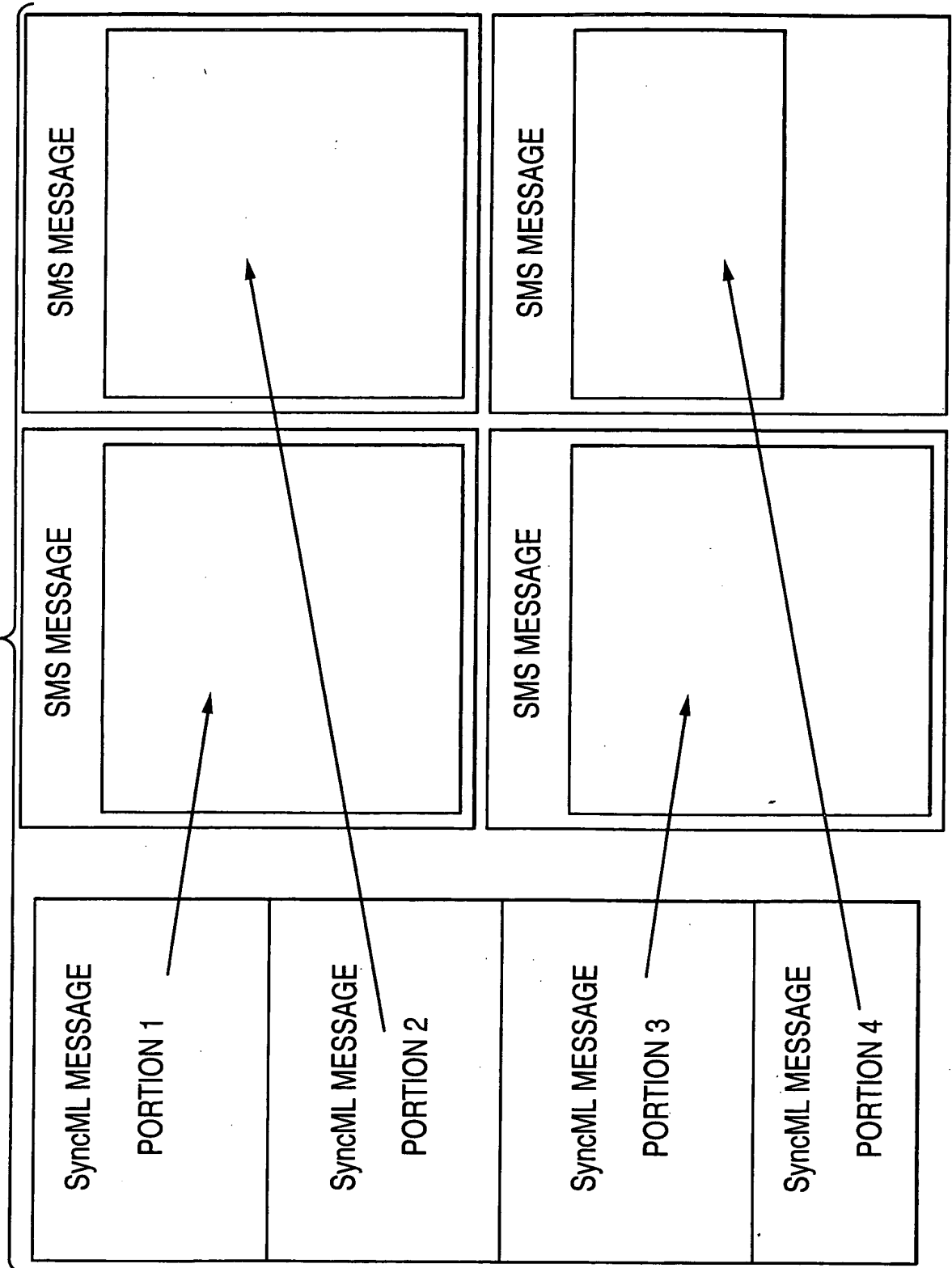
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Appendix A

Replacement Sheet

**FIG. 3**



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: T. LARIKKA et al  
Application No.: 09/941,851  
Filed: August 30, 2001  
For: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A  
MOBILE TERMINAL DEVICE TO A THIRD DEVICE  
Art Unit: 2645  
Examiner: C. MING

**PETITION FOR EXTENSION OF TIME**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

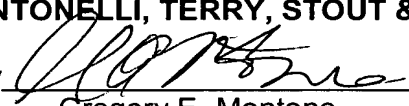
May 16, 2005

Sir:

In the matter of the above-identified application, Applicants hereby respectfully petition for an extension of time to permit filing a response within a two-month time period subsequent to expiration of the shortened statutory period set in the outstanding Office Action mailed December 16, 2004. A credit card payment form in the amount of \$450.00 to cover the required fee for the requested extension of time is attached hereto.

It is respectfully requested that any shortage in the fee be charged to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Account No. 01-2135 (Docket No. 0171.40169X00) and any excess fees credited to that account.

Respectfully submitted,  
**ANTONELLI, TERRY, STOUT & KRAUS, LLP**

By   
Gregory E. Montone  
Reg. No. 28,141

05/17/2005 HALI11 00000089 09941851

01 FC:1252

450.00 DP

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# **PATENT APPLICATION FEE DETERMINATION RECORD** Effective October 1, 2000

Application or Docket Number

017.40169X00

## **CLAIMS AS FILED - PART I**

	(Column 1)	(Column 2)
TOTAL CLAIMS	47	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	47 minus 20 =	27
INDEPENDENT CLAIMS	5 minus 3 =	2
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

\* If the difference in column 1 is less than zero, enter "0" in column 2

## **CLAIMS AS AMENDED - PART II**

Amendment A

	(Column 1)	(Column 2)	(Column 3)
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	45	Minus 47	= 1
Independent	5	Minus 5	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

SMALL ENTITY TYPE ☐

OR OTHER THAN SMALL ENTITY

RATE	FEE		RATE	FEE
BASIC FEE	355.00	OR	BASIC FEE	710.00
X\$ 9=		OR	X\$18=	486
X40=		OR	X80=	180
+135=		OR	+270=	
TOTAL		OR	TOTAL	1356

SMALL ENTITY OR OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X40=		OR	X80=	
+135=		OR	+270=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X40=		OR	X80=	
+135=		OR	+270=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X40=		OR	X80=	
+135=		OR	+270=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

Amendment B

	(Column 1)	(Column 2)	(Column 3)
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total		Minus	=
Independent		Minus	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

Amendment C

	(Column 1)	(Column 2)	(Column 3)
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total		Minus	=
Independent		Minus	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	08/30/2001	Tapani Larikka	017.40169X00	7553

7590 07/15/2005

Antonelli, Terry, Stout & Kraus, LLP  
 Suite 1800  
 1300 North Seventeenth Street  
 Arlington, VA 22209

EXAMINER

CHOW, MING

ART UNIT	PAPER NUMBER
----------	--------------

2645

DATE MAILED: 07/15/2005

Please find below and/or attached an Office communication concerning this application or proceeding.



<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/941,851	LARIKKA ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Ming Chow	2645	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 16 May 2005.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-33,35-38 and 40-47 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-33,35-38 and 40-47 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

Application/Control Number: 09/941,851  
Art Unit: 2645

Page 2

*Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. Claims 1, 3, 13, 21, 23, 33, 38, 11, 12, 20, 31, 32, 36, 37, 41, 42, 43-47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi (US: 6633759), and in view of Jokimies (US: 5353328).

Regarding claims 1, 3, 13, 21, 23, 33, 38, Kobayashi teaches on column 13 line 62 to column 14 line 6, message data is entered in the PC (claimed “first terminal device”) and send the message data to the cellular phone (claimed “intermediate terminal device”). The sending of same message data from the PC to the cellular phone is a synchronization. Kobayashi teaches Fig. 9, short-range wireless communication between the PC and the cellular phone (reads on claimed “remotely located”).

Kobayashi failed to teach “formatting the data to be synchronized into at least one SMS message in the intermediate terminal device”. However, Jokimies teaches on Abstract – an adapter for a mobile phone to convert received data into SMS for transmitting.

Kobayashi teaches on column 14 line 26-32, transmit the received data from the intermediate terminal to a third terminal (claimed “second terminal device”). Kobayashi teaches

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the intermediate terminal is a cellular phone (reads on claimed “through a cellular network connection”).

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi to have the formatting the data to be synchronized into at least one SMS message in the intermediate terminal device as taught by Jokimies such that the modified intermediate terminal of Kobayashi would be able to support the formatting data message into SMS to the system users.

Regarding claims 11, 12, 20, 31, 32, 36, 37, 41, 42, Kobayashi teaches on column 4 line 55-64 the PC (claimed “first terminal device”) and the cellular phone (claimed “intermediate terminal”) are connected via bluetooth interface (claimed “a short range communication link”).

Regarding claims 43-47, Kobayashi teaches on column 14 line 25-31 the data can be transmitted and received among multiple devices (reads on claimed “from the second terminal device to the first terminal device”).

2. Claims 2, 14, 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Sutinen et al (US: 2002/0161769).

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach “formatting the data message comprises formatting the data in a SyncML format”. However, Sutinen et al teach on Fig. 3 and section [0005] – data in SyncML format for synchronization.

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It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the formatting the data message comprises formatting the data in a SyncML format as taught by Sutinen et al such that the modified system of Kobayashi, Jokimies would be able to support the SyncML format data to the system users.

3. Claims 4, 24, 35, 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Lohtia et al (US: 6560456).

Regarding claim 4, 24, 35, 40, 5, 25, Kobayashi in view of Jokimies as stated in claim 1 above failed to teach "SMS message center". However, Lohtia et al teach on item 16 Fig. 1 and column 12 line 20 a SMS message center.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the SMS message center as taught by Lohtia et al such that the modified system of Kobayashi, Jokimies would be able to support the SMS message center to the system users.

Regarding claims 5, 25, Kobayashi in view of Jokimies, Lohtia et al as stated in claim 4 above failed to teach "the at least one.....and the internet". However, Lohtia et al teach on item 304 Fig. 3 MSC (claimed "mobile network")., item 302 Fig. 3 WWIS Gateway, item 303 Fig. 3 Internet.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Lohtia et al to have the at least one.....and the internet as taught by Lohtia

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et al such that the modified system of Kobayashi, Jokimies, Lohtia et al would be able to support the mobile network, gateway, and Internet for transmitting SMS messages to the system users.

4. Claims 6, 15, 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Alanara et al (US: 6097961).

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach “the at least.....SMS message”. However, Alanara et al teach on column 15 line 24-25 a controller on the mobile station to compress SMS messages.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the at least.....SMS message as taught by Alanara et al such that the modified system of Kobayashi, Jokimies would be able to support the compressed SMS message to the system users.

5. Claims 7, 16, 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Alanara et al, Corneliussen et al (US: 2004/0048603).

Kobayashi in view of Jokimies, Alanara et al as stated in claim 6 above failed to teach “the compressed SMS.....encoded message”. However, Corneliussen et al teach on section [0041} SMS server builds WBXML messages.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Alanara et al to have the “the compressed SMS.....encoded message” as taught by Corneliussen et al such that the modified system of Kobayashi, Jokimies, Alanara et al would be able to support the WBXML encoded message to the system users.

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6. Claims 8, 17, 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Sutinen et al, Schmidt et al (US: 2003/0078890).

Kobayashi in view of Jokimies, Sutinen et al as stated in claim 2 above failed to teach “the data formatted.....formats”. However, Schmidt et al teach on section [0298] SyncML MIME data format.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Sutinen et al to have the “the data formatted.....formats” as taught by Schmidt et al such that the modified system of Kobayashi, Jokimies, Sutinen et al would be able to support the SyncML MIME data format to the system users.

7. Claims 9, 18, 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Sutinen et al, Schmidt et al, Winarski (US: 2002/0123307).

Kobayashi in view of Jokimies, Sutinen et al, Schmidt et al as stated in claim 8 above failed to teach “the two.....vcard formats”. However, Winarski teaches on section [0035] vcal and vcard formats of information.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Sutinen et al, Schmidt et al to have the “the two.....vcard formats” as taught by Winarski such that the modified system of Kobayashi, Jokimies, Sutinen et al, Schmidt et al would be able to support the vcal and vcard data formats to the system users.

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8. Claims 10, 19, 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies.

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach “the data message.....contact information”. However, “Official Notice” is taken that content of data message is a decide choice.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the “the data message.....contact information” such that the modified system of Kobayashi, Jokimies would be able to support the calendar, to-do list, personal information, or contact information to the system users.

### ***Response to Arguments***

9. Applicant's arguments filed on 5/16/05 have been fully considered but they are not persuasive.

- i) Applicant argues, on page 15, regarding new amendments. New grounds of rejections necessitated by the amendments have been stated above.

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Art Unit: 2645

Page 8

*Conclusion*

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

10. Any inquiry concerning this application and office action should be directed to the examiner Ming Chow whose telephone number is (571) 272-7535. The examiner can normally be reached on Monday through Friday from 8:30 am to 5 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fan Tsang, can be reached on (571) 272-7547. Any inquiry of a general nature or relating to the status of this application or



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Art Unit: 2645

proceeding should be directed to the Customer Service whose telephone number is (571) 272-

2600. Any response to this action should be mailed to:

**Commissioner of Patents and Trademarks**

**Washington, D.C. 20231**

**Or faxed to Central FAX Number 571-273-8300.**

Patent Examiner

Art Unit 2645

Ming Chow



**FAN TSANG**  
**SUPERVISORY PATENT EXAMINER**  
**TECHNOLOGY CENTER 2600**

## Search Notes



Application/Control No.

09/941,851

**Examiner**

**Ming Chow**

**Applicant(s)/Patent under Reexamination**

LARIKKA ET AL.

<b>Art Unit</b>
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2645

**SEARCHED**

[illegible]

**INTERFERENCE SEARCHED**

Class	Subclass	Date	Examiner

### SEARCH NOTES (INCLUDING SEARCH STRATEGY)

[illegible]

**Index of Claims**

Application/Control No.

09/941,851

Examiner

Ming Chow

Applicant(s)/Patent under  
Reexamination

LARIKKA ET AL.

Art Unit

2645

✓	Rejected
=	Allowed

—	(Through numeral) Cancelled
÷	Restricted

N	Non-Elected
I	Interference

A	Appeal
O	Objected

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Phone:

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From: **Ross Dannenberg**  
Fax:  
Phone:

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Re:

I hereby certify that the following documents are being facsimile  
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to (571) 273-8300:

\*Power of Attorney

\*Statement under 37 CFR 3.73(b)

Signature: /Ross Dannenberg/  
Ross A. Dannenberg, Reg. No. 49,024

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**Date Of Transmission: 10/14/2005 11:30:08 AM**  
**Number Of Pages Including This Cover Sheet: 3**

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)****RECEIVED  
CENTRAL FAX CENTER**Applicant/Patent Owner: Nokia CorporationApplication No./Patent No.: 09/941,851 Filed/Issue Date: Filed 30-Aug-2001**OCT 14 2005**Entitled: Message Transfer From a Source Device Via a Mobile Terminal Device to a Third Device

Nokia Corporation, a Corporation  
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
 The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012130, Frame 0651, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Ross Dannenberg/14-Oct-2005

Signature

Date

Ross A. Dannenberg, Reg. No. 49,024202-824-3000

Printed or Typed Name

Telephone Number

Counsel of Record

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FIN-02150, Espoo  
FINLAND

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SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	14 October 2005
Name	Sami Saru	Telephone	+358 50 486 7688
Title	IPR External Associates Manager		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 9 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 17 2005

Appln. No.: 09/941,851  
Amendment dated October 17, 2005  
Reply to Office Action of July 15, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of (first named inventor):

**Tapani Larikka**

Serial No.: 09/941,851

Filed: August 30, 2001

For: Message Transfer From A Source  
Device Via A Mobile Terminal Device  
To A Third Device

Atty. Docket No.: (Amended)  
004770.00538

Group Art Unit: 2645

Examiner: Chow, Ming

Confirmation No.: 7553

**RESPONSE TO FINAL OFFICE ACTION**

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Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

I hereby certify that this correspondence is being  
facsimile transmitted to the Patent and Trademark  
Office on October 17, 2005, to (571) 273-8300.  
Signature: /Ross Dannenberg/  
Ross A. Dannenberg, Reg. No. 49,024

Sir:

In response to the Office Action mailed July 15, 2005, please amend the instant  
application as follows:

**Remarks/Arguments** begin on page 2 of this paper.

If any fees are required or if an overpayment is made, the Commissioner is authorized to  
debit or credit our Deposit Account No. 19-0733, accordingly.

Appl. No.: 09/941,851  
Amendment dated October 17, 2005  
Reply to Office Action of July 15, 2005

### **REMARKS/ARGUMENTS**

The Office Action of July 15, 2005 has been carefully reviewed and these remarks are responsive thereto. Reconsideration and allowance of the instant application are respectfully requested.

#### ***Summary of Telephonic Interview***

As new counsel of record, Applicant's undersigned representative thanks the examiner for the telephonic interview of October 14, 2005, in which the examiner and Applicant's undersigned representative discussed the pending issues in this case in an attempt to further prosecution of this application.

During the interview, Applicant's undersigned representative and the examiner discussed, without agreement, the rejection of claim 1. Applicant's undersigned representative pointed out that the Office Action of July 15, 2005, in rejecting claim 1, relies on the Kobayashi reference (U.S. Pat. No. 6,633,759) for all features of claim 1 except the step of formatting the data to be synchronized into at least one SMS message. Applicant's undersigned representative further pointed out that every connection in Kobayashi is between two devices, without passing data through a third device. That is, Kobayashi describes technology whereby one device is used as a viewer for a second device. The first device and second device are connected via a short-range connection, e.g., Bluetooth. See, e.g., Kobayashi, col. 13-14.

While the Office Action relies on col. 14, lines 26-32 of Kobayashi as allegedly describing an intermediate (i.e., third) device, Kobayashi instead merely indicates that the connection between two devices can be created multiple times. Each connection, however, is still only between two devices at a time. In Kobayashi, data is never transferred from a first device, through an intermediate (i.e., third) device, to a second device.

In response, the examiner indicated for the first time that the adapter of the Jokimies reference might be considered a terminal device. Applicant respectfully disagrees. Terminal devices are well known in the art. A terminal device is generally known in the art as a point at which a telephone call can end, i.e., terminate. See, e.g., *Newton's Telecom Dictionary*, 17<sup>th</sup> Ed., CMP Books, 2001, p. 692 ("Terminal: 1. The point at which a telephone line ends."); p. 693



Appln. No.: 09/941,851  
Amendment dated October 17, 2005  
Reply to Office Action of July 15, 2005

("Terminal Equipment: ...telephones and other equipment at the end of telephone lines."). In addition, Jokimies does not teach or suggest that the adapter connects to anything via a wireless connection as claimed, but rather has a cable 5 to connect to a mobile telephone 1, and a data connector (input/output) for connection to a PC. See Jokimies, col. 2, lines 28-57. Therefore, Jokimies does not teach an intermediate terminal device, and claims 1, 13, 21, 33, and 38 are not obvious over Kobayashi and Jokimies.

#### ***Statement of Common Ownership***

The present application and the Sutinen (US 2002/0161769), Schmidt (US 2003/0078890 A1), and Corneliussen (US 2004/0048603 A1) references were, at the time the invention was made, owned by, or subject to an obligation of assignment to, Nokia Corporation.

#### ***Rejections Under 35 U.S.C. § 103***

Claims 1, 3, 13, 21, 23, 33, 38, 11, 12, 20, 31, 32, 36, 37, 41, 42, and 43-47 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi (U.S. Pat. No. 6,633,759) in view of Jokimies (U.S. Pat. No. 5,353,328).

In order to establish a *prima facie* case of obviousness under § 103(a), three criteria must exist: 1) there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine the reference teachings; 2) there must be a reasonable expectation of success; and 3) the prior art reference(s) must teach or suggest all the claim limitations. See MPEP § 706.02 (j); *In re Vaeck*, 947 F.2d 488 (Fed. Cir. 1991).

As argued in the above summary of the telephonic interview, Kobayashi and Jokimies, even if combined, do not teach or suggest sending data for synchronization through an intermediate terminal device. Jokimies does not teach or suggest an intermediate terminal device, as newly argued by the examiner, because the adapter 2 of Jokimies is not the same as the claimed intermediate terminal device, as already discussed above. The Office has thus failed to establish a *prima facie* case of obviousness.

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Additionally, there is no motivation to combine or expectation of success in the combination of Kobayashi with Jokimies. Kobayshi describes a short range connection between a mobile telephone and a PC. Even assuming that Jokimies taught that which the examiner proffers, which Applicant maintains it does not, Jokimies would introduce an extra level of complexity into Kobayashi in that the connection would be relayed through an adapter coupled to a mobile terminal. This would necessarily require additional configuration and programming, and thus there is no expectation of success in the combination by one of ordinary skill in the art. Thus, the Office Action fails to establish a *prima facie* case of obviousness for this additional reason.

Claims 2, 14, and 22 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi in view of Jokimies and further in view of Sutinen (U.S. 2002/0161769). The above Statement of Common Ownership removes Sutinen as an applicable reference for a rejection under 35 U.S.C. § 103(a), and this rejection is therefore respectfully traversed.

Claims 4, 24, 35, and 40 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi in view of Jokimies and further in view of Lohtia (U.S. Pat. No. 6,560,456). These claims are allowable based on the allowability of their respective base claims, because Lohtia does not cure the above-noted deficiencies of Kobayashi and Jokimies, and further in view of the additional features recited in each claim.

Claims 6, 15, and 26 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi in view of Jokimies and further in view of Alanara (U.S. Pat. No. 6,097,961). These claims are allowable based on the allowability of their respective base claims, because Alanara does not cure the above-noted deficiencies of Kobayashi and Jokimies, and further in view of the additional features recited in each claim.

Claims 7, 16, and 27 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi in view of Jokimies and further in view of Alanara and Corneliussen (U.S.

Appln. No.: 09/941,851  
Amendment dated October 17, 2005  
Reply to Office Action of July 15, 2005

2004/0048603). The above Statement of Common Ownership removes Corneliussen as an applicable reference for a rejection under 35 U.S.C. § 103(a), and this rejection is therefore respectfully traversed.

Claims 8, 17, and 28 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi in view of Jokimies and further in view of Sutinen and Schmidt (U.S. 2003/0078890). The above Statement of Common Ownership removes Sutinen and Schmidt as applicable references for a rejection under 35 U.S.C. § 103(a), and this rejection is therefore respectfully traversed.

Claims 9, 18, and 29 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi in view of Jokimies and further in view of Sutinen, Schmidt, and Winarski (U.S. 2002/0123307). The above Statement of Common Ownership removes Sutinen and Schmidt as applicable references for a rejection under 35 U.S.C. § 103(a), and this rejection is therefore respectfully traversed.

Claims 10, 19, and 30 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi in view of Jokimies. These claims are allowable based on the allowability of their respective base claims, and further in view of the additional novel and nonobvious features recited in each claim.

Applicant reserves the right to further attack the motivation to combine any or all of the cited references, but believes this is not necessary at this time based on the above-noted features lacking from each reference.

### **CONCLUSION**

All rejections having been addressed, Applicant respectfully submits that the instant application is in condition for allowance, and respectfully solicits prompt notification of the same. However, if for any reason the Examiner believes the application is not in condition for

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PAGE 006/006

Fax Server

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Amendment dated October 17, 2005  
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allowance or there are any questions, the Examiner is requested to contact the undersigned at  
(202) 824-3153.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated this 17th day of October, 2005

By:

/Ross Dannenberg/  
Ross Dannenberg, Registration No. 49,024,  
1001 G Street, N.W.  
Washington, D.C. 20001-4597  
Tel: (202) 824-3000  
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RAD/mmd



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	08/30/2001	Tapani Larikka	017.40169X00	7553
22907	7590	10/20/2005	EXAMINER	
BANNER & WITCOFF 1001 G STREET N W SUITE 1100 WASHINGTON, DC 20001			CHOW, MING	
			ART UNIT	PAPER NUMBER
			2645	

DATE MAILED: 10/20/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Interview Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/941,851	LARIKKA ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Ming Chow	2645	

All participants (applicant, applicant's representative, PTO personnel):

(1) Ming Chow. (3)\_\_\_\_\_.

(2) Ross Dannenberg. (4)\_\_\_\_\_.

Date of Interview: 14 October 2005.

Type: a) ☒ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.  
If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1.

Identification of prior art discussed: \_\_\_\_\_.

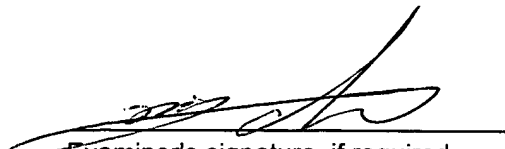
Agreement with respect to the claims f) ☐ was reached. g) ☒ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: General discussions regarding Applicant's arguments. Examiner requested Applicant to document all arguments and agreed to consider those arguments when next response is received.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

  
Examiner's signature, if required

**Chow, Ming**

---

**From:** Ross Dannenberg [RDannenberg@bannerwitcoff.com]  
**Sent:** Friday, October 14, 2005 11:38 AM  
**To:** Chow, Ming  
**Subject:** Application 09/941,851 (our ref 004770.00538)

Examiner Chow,

Today we faxed in our new Power of Attorney for this case, a courtesy copy of which is attached for your reference. If you are still available today at 3pm to discuss this case, please let me know and I will call you at that time. Otherwise, please let me know your availability on Thursday or Friday of next week. I look forward to hearing from you.

Sincerely,

Ross

Ross Dannenberg  
Banner & Witcoff, Ltd.  
1001 G Street, NW  
Washington, DC 20001-4597  
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rdannenberg@bannerwitcoff.com

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10/14/05



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---

**To: Central Fax**  
**Company: USPTO**  
**Fax: 15712738300**  
**Phone:**

---

**From: Ross Dannenberg**  
**Fax:**  
**Phone:**

---

**Re:**

I hereby certify that the following documents are being facsimile  
transmitted to the Patent and Trademark Office on October 14, 2005,  
to (571) 273-8300:

\*Power of Attorney

\*Statement under 37 CFR 3.73(b)

Signature: /Ross Dannenberg/  
Ross A. Dannenberg, Reg. No. 49,024

---

**Date Of Transmission: 10/14/2005 11:30:08 AM**  
**Number Of Pages Including This Cover Sheet: 3**

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PTO/BB/80 (04-05)

Approved for use through 11/30/2005. OMB 0651-0035  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:



Practitioners associated with the Customer Number:

22907

OR



Practitioner(s) named below (If more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:



The address associated with Customer Number:

22907

OR



Firm or Individual Name

Address

City

State

Zip

Country

Telephone

Email


Assignee Name and Address:

Nokia Corporation  
Keilalahdentie 4  
FIN-02150, Espoo  
FINLAND

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/BB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	14 October 2005
Name	Sami Saru	Telephone	+358 50 486 7688
Title	IPR External Associates Manager		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Nokia CorporationApplication No./Patent No.: 09/941,851 Filed/Issue Date: Filed 30-Aug-2001Entitled: Message Transfer From a Source Device Via a Mobile Terminal Device to a Third DeviceNokia Corporation, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012130, Frame 0651, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Ross Dannenberg/

Signature

14-Oct-2005

Date

Ross A. Dannenberg, Reg. No. 49,024

Printed or Typed Name

202-824-3000

Telephone Number

Counsel of Record

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/941,851	08/30/2001	Tapani Larikka	017.40169X00

22907  
 BANNER & WITCOFF  
 1001 G STREET N W  
 SUITE 1100  
 WASHINGTON, DC 20001

CONFIRMATION NO. 7553



\*OC000000017298436\*

Date Mailed: 10/20/2005

## NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/14/2005.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

SYED AREEBUDDIN  
 PTOSS (703) 308-9150

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/941,851	08/30/2001	Tapani Larikka	017.40169X00

Antonelli, Terry, Stout & Kraus, LLP  
 Suite 1800  
 1300 North Seventeenth Street  
 Arlington, VA 22209

CONFIRMATION NO. 7553



\*OC000000017298374\*

Date Mailed: 10/20/2005

## NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/14/2005.

- The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

SYED AREEBUDDIN  
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	08/30/2001	Tapani Larikka	017.40169X00	7553
22907	7590	12/16/2005	EXAMINER	
BANNER & WITCOFF 1001 G STREET N W SUITE 1100 WASHINGTON, DC 20001			CHOW, MING	
			ART UNIT	PAPER NUMBER
			2645	

DATE MAILED: 12/16/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/941,851

Applicant(s)

LARIKKA ET AL.

Examiner

Ming Chow

Art Unit

2645

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --****Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 20 October 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-33,35-38 and 40-47 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3-6,10-13,15,19-21,23-26,30-33,35-38,40-47 is/are rejected.
- 7) ☒ Claim(s) 2,7-9,14,16-18,22 and 27-29 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

Application/Control Number: 09/941,851  
Art Unit: 2645

Page 2

***Response to Amendment***

1. Applicant's request for reconsideration of the finality of the rejection of the last Office action is persuasive and, therefore, the finality of that action is withdrawn.

***ALLOWABLE SUBJECT MATTER***

1. Claims 2, 7-9, 14, 16-18, 22, 27-29 are allowed.
2. The following is an examiner's statement of reasons for allowance:

Upon close review of the claims, the prior art and applicant's remarks it appears that the allowance of claims 2, 7-9, 14, 16-18, 22, 27-29 are appropriate. The prior art does not teach formatting the data message comprising formatting the data in a SyncML format wherein the data formatted in a SyncML format comprises one of two MIME formats. The two MIME formats comprise vcal and vcard formats. The compressed SMS message comprises a WBXML encoded message.

Application/Control Number: 09/941,851  
Art Unit: 2645

Page 3

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1, 3, 13, 21, 23, 33, 38, 11, 12, 20, 31, 32, 36, 37, 41, 42, 43-47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi (US: 6633759), and in view of Jokimies (US: 5353328).

Regarding claims 1, 3, 13, 21, 23, 33, 38, Kobayashi teaches on column 13 line 62 to column 14 line 6, message data is entered in the PC (claimed “first terminal device”) and send the message data to the cellular phone (claimed “intermediate terminal device”). The sending of same message data from the PC to the cellular phone is a synchronization. Kobayashi teaches Fig. 9, short-range wireless communication between the PC and the cellular phone (reads on claimed “remotely located”).

Kobayashi failed to teach “formatting the data to be synchronized into at least one SMS message in the intermediate terminal device”. However, Jokimies teaches on Abstract – an adapter for a mobile phone to convert received data into SMS for transmitting.

Kobayashi teaches on column 14 line 26-32, transmit the received data from the intermediate terminal to a third terminal (claimed “second terminal device”). Kobayashi teaches the intermediate terminal is a cellular phone (reads on claimed “through a cellular network connection”).



Application/Control Number: 09/941,851  
Art Unit: 2645

Page 4

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi to have the formatting the data to be synchronized into at least one SMS message in the intermediate terminal device as taught by Jokimies such that the modified intermediate terminal of Kobayashi would be able to support the formatting data message into SMS to the system users.

Regarding claims 11, 12, 20, 31, 32, 36, 37, 41, 42, Kobayashi teaches on column 4 line 55-64 the PC (claimed “first terminal device”) and the cellular phone (claimed “intermediate terminal”) are connected via bluetooth interface (claimed “a short range communication link”).

Regarding claims 43-47, Kobayashi teaches on column 14 line 25-31 the data can be transmitted and received among multiple devices (reads on claimed “from the second terminal device to the first terminal device”).

3. Claims 4, 24, 35, 40, 5, 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Lohtia et al (US: 6560456).

Regarding claim 4, 24, 35, 40, Kobayashi in view of Jokimies as stated in claim 1 above failed to teach “SMS message center”. However, Lohtia et al teach on item 16 Fig. 1 and column 12 line 20 a SMS message center.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the SMS message center as taught by Lohtia et al such that the

Application/Control Number: 09/941,851  
Art Unit: 2645

Page 5

modified system of Kobayashi, Jokimies would be able to support the SMS message center to the system users.

Regarding claims 5, 25, Kobayashi in view of Jokimies, Lohtia et al as stated in claim 4 above failed to teach “the at least one.....and the internet”. However, Lohtia et al teach on item 304 Fig. 3 MSC (claimed “mobile network”), item 302 Fig. 3 WWIS Gateway, item 303 Fig. 3 Internet.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies, Lohtia et al to have the at least one.....and the internet as taught by Lohtia et al such that the modified system of Kobayashi, Jokimies, Lohtia et al would be able to support the mobile network, gateway, and Internet for transmitting SMS messages to the system users.

4. Claims 6, 15, 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies, Alanara et al (US: 6097961).

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach “the at least.....SMS message”. However, Alanara et al teach on column 15 line 24-25 a controller on the mobile station to compress SMS messages.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the at least.....SMS message as taught by Alanara et al such that the modified system of Kobayashi, Jokimies would be able to support the compressed SMS message to the system users.

Application/Control Number: 09/941,851  
Art Unit: 2645

Page 6

5. Claims 10, 19, 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Jokimies.

Kobayashi in view of Jokimies as stated in claim 1 above failed to teach “the data message.....contact information”. However, “Official Notice” is taken that content of data message is a decide choice.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi, Jokimies to have the “the data message.....contact information” such that the modified system of Kobayashi, Jokimies would be able to support the calendar, to-do list, personal information, or contact information to the system users.

### ***Response to Arguments***

6. Applicant's arguments filed on 10/17/05 have been fully considered.

- i) Applicant argues, on page 2, regarding claimed “intermediate device”. As rejections clearly stated in the Office Action, Kobayashi teaches on column 13 line 62 to column 14 line 6, message data is entered in the PC (claimed “first terminal device”) and send the message data to the cellular phone (claimed “intermediate terminal device”). Kobayashi also teaches on column 14 line 26-32, transmit the received data from the intermediate terminal to a third terminal (claimed “second terminal device”).

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Page 7

Kobayashi teaches the intermediate terminal is a cellular phone (reads on claimed “through a cellular network connection”). In particular, Kobayashi teaches on column 14 line 26-29, data can be transmitted/received among more than two devices (reads on claimed “from the intermediate terminal to the second terminal device”).

### *Conclusion*

7. The prior art made of record and not replied upon is considered pertinent to applicant’s disclosure.

- US: 4593379.

8. Any inquiry concerning this application and office action should be directed to the examiner Ming Chow whose telephone number is (571) 272-7535. The examiner can normally be reached on Monday through Friday from 8:30 am to 5 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner’s supervisor, Fan Tsang, can be reached on (571) 272-7547. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Customer Service whose telephone number is (571) 272-2600. Any response to this action should be mailed to:

Application/Control Number: 09/941,851  
Art Unit: 2645

Page 8

**Commissioner of Patents and Trademarks**

**Washington, D.C. 20231**

**Or faxed to Central FAX Number 571-273-8300.**

Patent Examiner

Art Unit 2645

Ming Chow



FAN TSANG  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2600

**Index of Claims**

Application/Control No.

09/941,851

Examiner

Ming Chow

Applicant(s)/Patent under  
Reexamination

LARIKKA ET AL.

Art Unit

2645

✓	Rejected
=	Allowed

—	(Through numeral) Cancelled
÷	Restricted

N	Non-Elected
I	Interference

A	Appeal
O	Objected

Claim		Date											
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## Search Notes



Application/Control No.

09/941,851

**Examiner**

**Ming Chow**

**Applicant(s)/Patent under Reexamination**

LARIKKA ET AL.

[illegible]

2645

**SEARCHED**

[illegible]

**INTERFERENCE SEARCHED**

Class	Subclass	Date	Examiner

### SEARCH NOTES (INCLUDING SEARCH STRATEGY)

[illegible]

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10/17/2005 4:44 PAGE 001/006 Fax Server

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OCT 17 2005

Appln. No.: 09/941,851  
 Amendment dated October 17, 2005  
 Reply to Office Action of July 15, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of (first named inventor):

**Tapani Larikka**

Serial No.: 09/941,851

Filed: August 30, 2001

For: Message Transfer From A Source  
 Device Via A Mobile Terminal Device  
 To A Third Device

Atty. Docket No.: (Amended)  
 004770.00538

Group Art Unit: 2645

Examiner: Chow, Ming

Confirmation No.: 7553

*O.K. to Enter.*  
*(w) 11-28-05*

**RESPONSE TO FINAL OFFICE ACTION**

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 401 Dulany Street  
 Alexandria, VA 22314

I hereby certify that this correspondence is being  
 facsimile transmitted to the Patent and Trademark  
 Office on October 17, 2005, to (571) 273-8300.  
 Signature: /Ross Dannenberg/  
 Ross A. Dannenberg, Reg. No. 49,024

Sir:

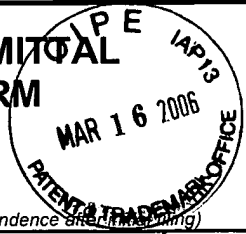
In response to the Office Action mailed July 15, 2005, please amend the instant  
 application as follows:


Remarks/Arguments begin on page 2 of this paper.

If any fees are required or if an overpayment is made, the Commissioner is authorized to  
 debit or credit our Deposit Account No. 19-0733, accordingly.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after mailing)</i>	Application Number	09/941,851	
	Filing Date	August 30, 2001	
	First Named Inventor	Tapani LARIKKA	
	Art Unit	2645	
	Examiner Name	CHOW, Ming	
Total Number of Pages in This Submission	6	Attorney Docket Number	004770.00538

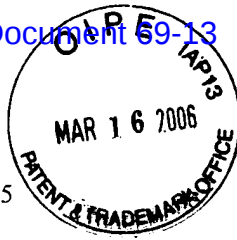
ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
<b>Remarks</b>  		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm	Banner & Witcoff, LTD.	
Signature		
Printed Name	Chunhsi Andy Mu	
Date	March 16, 2006	Reg. No. 58,216

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
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Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Appln. No.: 09/941,851  
Amendment dated March 16, 2006  
Reply to Office Action of December 16, 2005



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of (first named inventor):

**Tapani Larikka**

Serial No.: 09/941,851

Filed: August 30, 2001

For: Message Transfer From A Source  
Device Via A Mobile Terminal Device  
To A Third Device

Atty. Docket No.: 004770.00538

Group Art Unit: 2645

Examiner: Chow, Ming

Confirmation No.: 7553

**RESPONSE TO OFFICE ACTION**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In response to the Office Action mailed December 16, 2005, please consider the following remarks:

**Remarks/Arguments** begin on page 2 of this paper.

If any fees are required or if an overpayment is made, the Commissioner is authorized to debit or credit our Deposit Account No. 19-0733, accordingly.

Appln. No.: 09/941,851  
Amendment dated March 16, 2006  
Reply to Office Action of December 16, 2005

### **REMARKS/ARGUMENTS**

The Office Action of December 16, 2005, has been carefully reviewed and these remarks are responsive thereto. Reconsideration and allowance of the instant application are respectfully requested.

#### ***Rejections Under 35 U.S.C. § 103***

Claims 1, 3, 13, 21, 23, 33, 38, 11, 12, 20, 31, 32, 36, 37, 41, 42, and 43-47 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi (U.S. Pat. No. 6,633,759) in view of Jokimies (U.S. Pat. No. 5,353,328).

Independent claims 1, 13, 21, 33 and 38 all relate to, *inter alia*, transmitting data, for synchronization with a second device, from a first device to the second device via an intermediate device. Contrary to the Office Action's assertions, Kobayashi does not teach or suggest such a feature. The passage relied upon by the Office Action states "data can be transmitted/received among more than two devices to display data on desired software installed in another device on its own display screen." Col. 14, ll. 26-32. Nowhere in this or any passage does Kobayashi teach or suggest an intermediate device through which a first device transmits data to a second device. The Office Action alleges that Kobayashi discloses a cellular phone constituting an intermediate terminal device and that the "another device" of Kobayashi is equivalent to the second device. Applicants disagree. The cited passage merely discloses that the PC may make connections with multiple devices (one with each of the multiple devices) so that data may be displayed on the desired software of each of the multiple devices. Even assuming, *arguendo*, the cellular phone does transmit data to a third terminal, nowhere does Kobayashi teach or suggest that the cellular phone transmits the data to be synchronized received from the PC to the third terminal. Jokimies also does not teach or suggest an intermediate terminal device and thus, fails to cure this deficiency of Kobayashi. As such claims 1, 13, 21, 33 and 38 are allowable for at least this reason.

Additionally and as argued in the Applicants' previous response, there is no motivation to combine Kobayashi with Jokimies. Kobayashi describes a short range connection between a mobile telephone and a PC that requires establishing a dedicated and continuous wireless link between the mobile telephone and the PC. Col. 2, ll. 31-40. Jokimies, on the other hand,

Appln. No.: 09/941,851  
Amendment dated March 16, 2006  
Reply to Office Action of December 16, 2005

discloses a SMS messaging adapter. Abstract. SMS messaging is a store and forward service, wherein the short messages are not sent directly from the sender to the recipient via a continuous data connection. Applicant's Specification, ¶¶ [0007] & [0023]. Even assuming that Jokimies taught that which the examiner proffers, which Applicant maintains it does not, the suggested combination of Jokimies with Kobayashi would render Kobayashi unsatisfactory for its intended purpose. For example, Kobayashi relies upon the continuous direct wireless link to allow manipulation of software on one device by another and for the transmission of data (e.g., screen data as the result of a job) in *real time*. Col. 8, ll. 64-67; Col. 12, ll. 27-32; Col. 13, ll. 14-17. As such, using a SMS service, whereby the messages are stored and forwarded with no establishment of a dedicated wireless link, would interfere with the real time manipulation and transmission methods of Kobayashi. In other words, SMS service would not guarantee real time service, which, as evidenced above, is a critical component of Kobayashi. Significantly, the Federal Circuit has clearly held that if a proposed modification would render the prior art invention being modified unsatisfactory for its intended purpose, then there is no suggestion or motivation to make the proposed modification. *In re Gordon*, 221 U.S.P.Q. 1125 (Fed. Cir. 1984). Thus, there is no motivation or suggestion to make the proposed modification.

In addition, whereas Kobayashi is dedicated to a short-range wireless communication link, Jokimies is related to a cellular network, which is long-range. Kobayashi even stresses the short-range wireless communication requirement by disclosing, at Col. 14, ll. 26-32, that data can be transmitted/received among more than two devices “if the device is provided with a similar wireless communication function (*a wireless LAN or Bluetooth System in 2.45 Ghz*).” (emphasis added). Bluetooth and wireless LAN's are both known to be short-range wireless communication protocols. Thus, one of skill in the art would not be motivated to combine the teachings of Jokimies and Kobayashi in the manner suggested by the Office Action. As such, the Office Action fails to establish a *prima facie* case of obviousness at least these additional reasons.

Claims 3, 11, 12, 20, 23, 31, 32, 36, 37, 41, 42, and 43-47 are dependent on their respective base independent claims and are thus allowable for at least the same reasons as those claims and further in view of the novel and non-obvious features recited therein.

Appln. No.: 09/941,851  
Amendment dated March 16, 2006  
Reply to Office Action of December 16, 2005

Claims 4, 5, 24, 25, 35, and 40 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi in view of Jokimies and further in view of Lohtia (U.S. Pat. No. 6,560,456). These claims are allowable based on the allowability of their respective base claims, because Lohtia does not cure the above-noted deficiencies of Kobayashi and Jokimies, and further in view of the novel and non-obvious features recited in each of the claims.

Claims 6, 15, and 26 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi in view of Jokimies and further in view of Alanara (U.S. Pat. No. 6,097,961). These claims are allowable based on the allowability of their respective base claims, because Alanara does not cure the above-noted deficiencies of Kobayashi and Jokimies, and further in view of the additional features recited in each claim.

Claims 10, 19, and 30 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi in view of Jokimies. These claims are allowable based on the allowability of their respective base claims, and further in view of the additional novel and non-obvious features recited in each claim.

Applicant reserves the right to further attack the motivation to combine any or all of the cited references, but believes this is not necessary at this time based on the above-noted features lacking from each reference.

Appln. No.: 09/941,851  
Amendment dated March 16, 2006  
Reply to Office Action of December 16, 2005

**CONCLUSION**

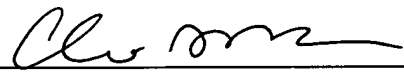
All rejections having been addressed, Applicant respectfully submits that the instant application is in condition for allowance, and respectfully solicits prompt notification of the same. However, if for any reason the Examiner believes the application is not in condition for allowance or there are any questions, the Examiner is requested to contact the undersigned at (202) 824-3156.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated this 16 day of March, 2006

By:

  
Chunhsi Andy Mu, Registration No. 58,216  
1001 G Street, N.W.  
Washington, D.C. 20001-4597  
Tel: (202) 824-3000  
Fax: (202) 824-3001

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09941851	
	Filing Date		2001-08-30	
	First Named Inventor	Tapani Larikka		
	Art Unit	2645		
	Examiner Name	Chow, Ming		
	Attorney Docket Number	004770.00538		

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	1	WO9857507	WO		1998-12-17	Telia AB		<input type="checkbox"/>
	2	WO9847270	WO		1998-10-22	Nokia Telecommunications		<input type="checkbox"/>
	3	WO9961984	WO		1999-12-02	3COM Corporation		<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09941851
Filing Date	2001-08-30
First Named Inventor	Tapani Larikka
Art Unit	2645
Examiner Name	Chow, Ming
Attorney Docket Number	004770.00538

4	WO0057339	WO		2000-09-28	Koninklijke Philips Electronics	<input type="checkbox"/>
5	EP1039768	EP		2000-09-27	Samsung Electronics	<input type="checkbox"/>

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**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	Supplementary European Search Report (02770138.2 dated 3/27/06)	<input type="checkbox"/>
	2	SyncML Sync Protocol, version 1.0, 12/7/00, pages 1-60	<input type="checkbox"/>
	3	SyncML Representation Protocol, version 1.0, 12/7/00, pages 1-104	<input type="checkbox"/>

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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09941851		
Filing Date	2001-08-30		
First Named Inventor	Tapani Larikka		
Art Unit	2645		
Examiner Name	Chow, Ming		
Attorney Docket Number	004770.00538		

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

- ☒ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Ross Dannenberg/	Date (YYYY-MM-DD)	2006-04-24
Name/Print	Ross A. Dannenberg	Registration Number	49024

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Tapani Larikka et al.

Serial No.: 09/941,851

Filed: August 30, 2001

For: Message Transfer From A  
Source Device Via A Mobile  
Terminal Device To A Third  
Device

Group Art Unit: 2645

Examiner: Chow, Ming

Atty. Dkt. No. 004770.00538

Confirmation No.: 7553

**IDS CERTIFICATION STATEMENT**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

This Certification Statement is being filed concurrently with an Information Disclosure Statement via EFS-Web. The concurrently filed IDS is not intended as an admission that any submitted document or reference constitutes prior art against the claims of the present application. Applicant(s) do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant(s) provide the following certification(s) for the concurrently filed IDS, if checked:

- ☐ Pursuant to 37 C.F.R. § 1.97 (b) (1), the undersigned states that the present information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53 (d).
- ☐ Pursuant to 37 C.F.R. § 1.97 (b) (2), the undersigned states that the present information disclosure statement is being filed within three months of the date of

entry of a national stage as set forth in 37 C.F.R. § 1.491 in an international application.

- ☐ Pursuant to 37 C.F.R. § 1.97 (b) (3), the undersigned states that the present information disclosure statement is being filed before the mailing of a first Office action.
- ☐ Pursuant to 37 C.F.R. § 1.97 (b) (4), the undersigned states that the present information disclosure statement is being filed before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.
- ☒ Pursuant to 37 C.F.R. § 1.97 (e) (1), the undersigned states that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ Pursuant to 37 C.F.R. § 1.97 (e) (2), the undersigned states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned, upon reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☒ Pursuant to 37 C.F.R. § 1.704 (d), the undersigned states that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and that the communication was not received by any individual designated in § 1.56 (c) more than thirty days prior to the filing of this information disclosure statement.
- ☐ The undersigned hereby authorizes the Office to charge the fee pursuant to 37 C.F.R. § 1.17 (p) to our Deposit Account 19-0733.
- ☐ OTHER REMARKS: \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

Consideration of the information identified in the concurrently filed IDS is respectfully requested, with citation thereto on the face of the issued patent as applicable.

Respectfully submitted,

**BANNER & WITCOFF, LTD.**

Dated: April 24, 2006

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P01

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2280 HV Rijswijk (ZH)  
☎ (070) 3 40 20 40  
FAX (070) 3 40 30 16

Europäisches  
Patentamt

European  
Patent Office

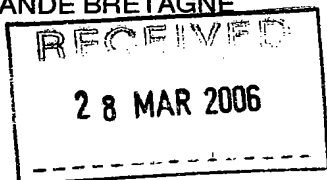
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Directorate General 1

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EPO Customer Services

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Date

27.03.06

Reference  
PJP/45528EP1

Application No./Patent No.  
02770138.2 - 2412 PCT/IB0203440

Applicant/Proprietor  
Nokia Corporation

### COMMUNICATION

The European Patent Office herewith transmits as an enclosure the supplementary European search report under Article 157(2)(a) EPC for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

- ☒ Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

### Refund of the search fee

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.





European Patent  
Office

**SUPPLEMENTARY  
EUROPEAN SEARCH REPORT**

Application Number  
EP 02 77 0138

**DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (IPC)
Y	WO 98/57507 A (TELIA AB) 17 December 1998 (1998-12-17) * abstract; figure 1 *	1-50	H04Q7/00 G06F17/30
Y	SYNCOM CONSORTIUM: "SyncML Sync Protocol, version 1.0" 7 December 2000 (2000-12-07), , XP002217356 * page 7, paragraph 1.1 - page 9, paragraph 1.4 * * page 16, paragraph 2.9 * * page 33, paragraph 5 - page 35, paragraph 5.1.1 * * page 45, paragraph 7 - page 46, paragraph 7.6 *	1-50	
Y	"SyncML Representation Protocol, version 1.0" 7 December 2000 (2000-12-07), SYNCOM REPRESENTATION PROTOCOL, PAGE(S) 1-104 , XP002217062 * page 11, paragraph 4.1 - page 15, paragraph 4.7 * * page 18, paragraph 4.14 - page 22, paragraph 4.18 *	1,27,33,50	TECHNICAL FIELDS SEARCHED (IPC) H04L G06F H04M
Y	WO 98/47270 A (NOKIA TELECOMMUNICATIONS OY; TUOMINEN, JOONAS) 22 October 1998 (1998-10-22) * abstract; figures 1,4 * * page 11, line 3 - line 31 *	1,27,33,50	
A	EP 1 039 768 A (SAMSUNG ELECTRONICS CO., LTD) 27 September 2000 (2000-09-27) * abstract; figures 2,3 * * column 8, line 14 - line 25 *	1-50	
<p>----- -/-</p> <p>The supplementary search report has been based on the last set of claims valid and available at the start of the search.</p>			
Place of search <b>Berlin</b>		Date of completion of the search <b>20 March 2006</b>	Examiner <b>Danielidis, S</b>
<p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons &amp; : member of the same patent family, corresponding document</p>			

2  
EPO FORM 1503 03.82 (P04C04)



European Patent  
Office

**SUPPLEMENTARY  
EUROPEAN SEARCH REPORT**

Application Number  
EP 02 77 0138

**DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (IPC)
A	WO 99/61984 A (3COM CORPORATION) 2 December 1999 (1999-12-02) * abstract; figures 1-5 * * page 129, line 1 - line 22 *	1-50	
A	WO 00/57339 A (KONINKLIJKE PHILIPS ELECTRONICS N.V) 28 September 2000 (2000-09-28) * abstract; claims 1,9; figures 1a-3 *	1-50	
			<b>TECHNICAL FIELDS SEARCHED (IPC)</b>
<p>The supplementary search report has been based on the last set of claims valid and available at the start of the search.</p>			
Place of search		Date of completion of the search	Examiner
Berlin		20 March 2006	Danielidis, S
<p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons</p> <p>&amp; : member of the same patent family, corresponding document</p>			

2  
EPJ FORM 1503 03.82 (P04C04)



# **ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.**

EP 02 77 0138

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

20-03-2006

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 9857507	A	17-12-1998	SE	514259 C2	29-01-2001
			SE	9702192 A	10-12-1998
WO 9847270	A	22-10-1998	AT	308209 T	15-11-2005
			AU	6836598 A	11-11-1998
			CA	2287002 A1	22-10-1998
			CN	1256847 A	14-06-2000
			DE	69832057 D1	01-12-2005
			EP	0976270 A2	02-02-2000
			FI	971615 A	17-10-1998
			JP	2001519982 T	23-10-2001
EP 1039768	A	27-09-2000	ZA	9803145 A	22-10-1998
			CN	1283938 A	14-02-2001
			KR	2000060815 A	16-10-2000
			US	2005215271 A1	29-09-2005
WO 9961984	A	02-12-1999	US	6920331 B1	19-07-2005
			AU	4210099 A	13-12-1999
			AU	4407899 A	13-12-1999
			CA	2333033 A1	02-12-1999
			CA	2333055 A1	02-12-1999
			EP	1088421 A2	04-04-2001
			EP	1092186 A1	18-04-2001
			GB	2353923 A	07-03-2001
WO 0057339	A	28-09-2000	GB	2357222 A	13-06-2001
			WO	9962268 A2	02-12-1999
			EP	1145179 A2	17-10-2001
WO 0057339	A	28-09-2000	JP	2003526136 T	02-09-2003

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	1029602
<b>Application Number:</b>	09941851
<b>Confirmation Number:</b>	7553
<b>Title of Invention:</b>	Message transfer from a source device via a mobile terminal device to a third device
<b>First Named Inventor:</b>	Tapani Larikka
<b>Customer Number:</b>	22907
<b>Filer:</b>	Ross Alan Dannenberg/Marilyn Davis
<b>Filer Authorized By:</b>	Ross Alan Dannenberg
<b>Attorney Docket Number:</b>	017.40169X00
<b>Receipt Date:</b>	24-APR-2006
<b>Filing Date:</b>	30-AUG-2001
<b>Time Stamp:</b>	15:51:45
<b>Application Type:</b>	Utility
<b>International Application Number:</b>	

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Information Disclosure Statement (IDS) Filed	538-IDS.pdf	964760	no	4

**Warnings:****Information:**

A U.S. Patent Number Citation or a U.S. Publication Number Citation is required in the Information Disclosure Statement (IDS) form for autoloading of data into USPTO systems. You may remove the form to add the required data in order to correct the Informational Message if you are citing U.S. References. If you chose not to include U.S. References, the image of the form will be processed and be made available within the Image File Wrapper (IFW) system. However, no data will be extracted from this form. Any additional data such as Foreign Patent Documents or Non Patent Literature will be manually reviewed and keyed into USPTO systems.

2	Miscellaneous Incoming Letter	00538-IDSCertificationStatement.pdf	75311	no	3
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**Warnings:****Information:**

3	Miscellaneous Incoming Letter	SearchReport.pdf	134106	no	4
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**Warnings:****Information:**

4	NPL Documents	SFX9F1.pdf	2947767	no	62
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**Warnings:****Information:**

5	NPL Documents	SFXA5A.pdf	7316979	no	104
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**Warnings:****Information:**

<b>Total Files Size (in bytes):</b>			11438923		
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**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**EAST Search History**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	277	(synchronization adj markup syncml)	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2006/05/18 11:08
S2	31	S1 with (bluetooth wireless adj lan wlan)	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2006/05/18 08:38
S4	64	S1 and (sms short adj message) and (bluetooth wireless adj lan wlan short adj range)	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2006/05/18 11:08
S9	277	(synchronization adj markup syncml)	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2006/05/18 11:43

**EAST Search History**

S10	110	S9 and (sms short adj message)	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWEN T; IBM_TDB	OR	ON	2006/05/18 11:41
S11	104	S9 and (sms short adj message adj service)	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWEN T; IBM_TDB	OR	ON	2006/05/18 11:41
S13	47	(synchronization adj markup syncml)	USPAT; USOCR; EPO; JPO; DERWEN T; IBM_TDB	OR	ON	2006/05/18 13:52
S15	14	vcal same vcard	US-PGPU B; USPAT; USOCR; EPO; JPO; DERWEN T; IBM_TDB	OR	ON	2006/05/18 13:53



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	08/30/2001	Tapani Larikka	017.40169X00	7553
22907	7590	05/30/2006	EXAMINER	
BANNER & WITCOFF 1001 G STREET N W SUITE 1100 WASHINGTON, DC 20001			GAUTHIER, GERALD	
			ART UNIT	PAPER NUMBER
			2614	

DATE MAILED: 05/30/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/941,851

Applicant(s)

LARIKKA ET AL.

Examiner

Gerald Gauthier

Art Unit

2614

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 24 April 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-33, 35-38 and 40-47 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-33, 35-38 and 40-47 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>4/24/06</u> . | 6) <input type="checkbox"/> Other: _____  |

Application/Control Number: 09/941,851  
Art Unit: 2614

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## DETAILED ACTION

### *Claim Objections*

1. **Claim(s) 35, 37 and 42** are objected to because of the following informalities:  
claim(s) 35 and 37, line1 "claim 34" should be "claim 33".

Claim(s) 42, line 1 "claim 39" should be "claim 38"). Correction is required.

### *Claim Rejections - 35 USC § 103*

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

4. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation



Application/Control Number: 09/941,851  
Art Unit: 2614

Page 3

under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

5. **Claim(s) 1-7, 13-16, 21-27, 33, 38, 11, 12, 20, 31, 32, 36, 37, 41, 42 and 43-47** are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi (US 6,633,759) in view of Lewontin (US 2002/0087596 A1).

Regarding **claims 1, 3, 13, 21, 23, 33 and 38**, Kobayashi discloses on column 13 line 62 to column 14 line 6, message data is entered in the PC (claimed “first terminal device”) and send the message data to the cellular phone (claimed “intermediate terminal device”). The sending of same message data from the PC to the cellular phone is synchronization. Kobayashi discloses Fig. 9, short-range wireless communication between the PC and the cellular phone (reads on claimed “remotely located”).

Kobayashi fails to disclose “formatting the data to be synchronized into at least one SMS message in the intermediate terminal device”.

However, Lewontin teaches (paragraphs 0025 and 0098), transmit the received data from the intermediate terminal to a third terminal. Kobayashi discloses the intermediate terminal is a cellular phone (reads on claimed “through a cellular network connection”).

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi to have the formatting the data to be synchronized into at least one

Application/Control Number: 09/941,851  
Art Unit: 2614

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SMS message in the intermediate terminal device as taught by Lewontin such that the modified intermediate terminal of Kobayashi would be able to support the formatting data message into SMS to the system users.

Regarding **claim(s) 2, 14 and 22** Lewontin teaches a method, wherein formatting the data message comprises formatting the data in a SyncML format (paragraph 0098).

Regarding **claim(s) 4-6, 15, 24-26, 35 and 40** Lewontin teaches a method, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center (paragraph 0025).

Regarding **claim(s) 7, 16 and 27** Lewontin teaches a method, wherein the compressed SMS message comprises a WBXML encoded message (paragraph 0031).

Regarding **claims 11, 12, 20, 31, 32, 36, 37, 41 and 42**, Kobayashi discloses on column 4 line 55-64 the PC (claimed "first terminal device") and the cellular phone (claimed "intermediate terminal") are connected via Bluetooth interface (claimed "a short range communication link").

Regarding **claims 43-47**, Kobayashi discloses on column 14 lines 25-31 the data can be transmitted and received among multiple devices (reads on claimed "from the second terminal device to the first terminal device").

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6. **Claims 4, 24, 35, 40, 5 and 25** are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi, and in view of Lewontin as applied to claim(s) 3 above and further in view of, Lohtia et al (US 6,560,456).

Regarding **claim 4, 24, 35 and 40**, Kobayashi in combination with Lewontin as stated in claim 3 above fail to disclose “SMS message center”.

However, Lohtia teaches on item 16 Fig. 1 and column 12 line 20 a SMS message center.

It would have been obvious to one skilled at the time the invention was made to modify in combination with Lewontin to have the SMS message center as taught by Lohtia such that the modified system of in combination with Lewontin would be able to support the SMS message center to the system users.

Regarding **claims 5 and 25**, Kobayashi in combination with Lewontin and Lohtia as stated in claim 4 above fail to disclose “the at least one.....and the internet”.

However, Lohtia teaches on item 304 Fig. 3 MSC (claimed “mobile network”), item 302 Fig. 3 WWIS Gateway, item 303 Fig. 3 Internet.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi in combination with Lewontin and Lohtia to have the at least one.....and the internet as taught by Lohtia such that the modified system of Kobayashi in combination with Lewontin and Lohtia would be able to support the mobile network, gateway, and Internet for transmitting SMS messages to the system users.

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7. **Claims 6, 15 and 26** are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi in combination with Lewontin as applied to claim(s) 1 above and further in view of Alanara et al (US 6,097,961).

Kobayashi in combination with Lewontin as stated in claim 1 above fail to disclose “the at least.....SMS message”.

However, Alanara teaches on column 15 lines 24-25 a controller on the mobile station to compress SMS messages.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi in combination with Lewontin to have the at least.....SMS message as taught by Alanara such that the modified system of Kobayashi in combination with Lewontin would be able to support the compressed SMS message to the system users.

8. **Claims 8-10, 17-19 and 28-30** are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi in combination with Lewontin as applied to claim(s) 1 above and further in view of Winarski (US 2002/0123307 A1).

Regarding **claim(s) 8-10, 17-19 and 28-30** Kobayashi in combination with Lewontin as stated in claim 1 above fail to disclose “data formatted in a SyncML format comprises one of two MIME formats”.

However, Winarski teaches a method, wherein the data formatted in a SyncML format comprises one of two MIME formats (paragraph 0035).

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It would have been obvious to one skilled at the time the invention was made to modify Kobayashi in combination with Lewontin to have the at least....SMS message as taught by Winarski such that the modified system of Kobayashi in combination with Lewontin would be able to support the compressed SMS message to the system users.

9. **Claims 10, 19 and 30** are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi in combination with Lewontin.

Kobayashi in combination with Lewontin as stated in claim 1 above fail to disclose "the data message.....contact information".

However, "Official Notice" is taken that content of data message is a decide choice.

It would have been obvious to one skilled at the time the invention was made to modify Kobayashi in combination with Lewontin to have the "the data message.....contact information" such that the modified system of Kobayashi in combination with Lewontin would be able to support the calendar, to-do list, personal information, or contact information to the system users.

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***Response to Arguments***


10. Applicant's arguments with respect to **claim(s) 1-33, 35-38 and 40-47** have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gerald Gauthier whose telephone number is (571) 272-7539. The examiner can normally be reached on 8:00 AM to 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fan Tsang can be reached on (571) 272-7547. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
**GERALD GAUTHIER**  
**PATENT EXAMINER**

GG  
May 18, 2006

Gerald Gauthier  
Examiner  
Art Unit 2614

<b>Notice of References Cited</b>	Application/Control No. 09/941,851	Applicant(s)/Patent Under Reexamination LARIKKA ET AL.	
	Examiner Gerald Gauthier	Art Unit 2614	Page 1 of 1

## U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2002/0123307 A1	09-2002	Winarski, Tyson	455/41
*	B	US-2002/0087596 A1	07-2002	Lewontin, Steve	707/513
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

## FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

## NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

PTO/SB/08a (08-03 )

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

IDS - 04/24/2006

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09941851	
	Filing Date		2001-08-30	
	First Named Inventor	Tapani Larikka		
	Art Unit	2645		
	Examiner Name	Chow, Ming		
	Attorney Docket Number	004770.00538		

U.S.PATENTS							Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear		
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Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
GG	1	WO9857507	WO		1998-12-17	Telia AB		<input type="checkbox"/>
GG	2	WO9847270	WO		1998-10-22	Nokia Telecommunications		<input type="checkbox"/>
GG	3	WO9961984	WO		1999-12-02	3COM Corporation		<input type="checkbox"/>



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09941851
Filing Date	2001-08-30
First Named Inventor	Tapani Larikka
Art Unit	2645
Examiner Name	Chow, Ming
Attorney Docket Number	004770.00538

GG	4	WO0057339	WO		2000-09-28	Koninklijke Philips Electronics		<input type="checkbox"/>
GG	5	EP1039768	EP		2000-09-27	Samsung Electronics		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**


Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
GG	1	Supplementary European Search Report (02770138.2 dated 3/27/06)	<input type="checkbox"/>
GG	2	SyncML Sync Protocol, version 1.0, 12/7/00, pages 1-60	<input type="checkbox"/>
GG	3	SyncML Representation Protocol, version 1.0, 12/7/00, pages 1-104	<input type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature	/Gerald Gauthier/	Date Considered	05/18/2006
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**Search Notes**

Application/Control No.

09/941,851

Examiner

Gerald Gauthier

Applicant(s)/Patent under  
Reexamination

LARIKKA ET AL.

Art Unit

2614

**SEARCHED**

Class	Subclass	Date	Examiner

**INTERFERENCE SEARCHED**

Class	Subclass	Date	Examiner

**SEARCH NOTES  
(INCLUDING SEARCH STRATEGY)**

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update search		CHOW
EAST (US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB)	5/18/2006	GG



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Bib Data Sheet

CONFIRMATION NO. 7553

SERIAL NUMBER 09/941,851	FILING DATE 08/30/2001  RULE	CLASS 455	GROUP ART UNIT 2614	ATTORNEY DOCKET NO. 017.40169X00
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APPLICANTS

Tapani Larikka, Vesilahti, FINLAND;  
 Jari Juopperi, Helsinki, FINLAND;

\*\* CONTINUING DATA NONE GG \*\*\*\*\*

\*\* FOREIGN APPLICATIONS NONE GG \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED  
 \*\* 10/01/2001

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged Examiner's Signature <u>Chester Handrit</u> Initials <u>GG</u>	STATE OR COUNTRY FINLAND	SHEETS DRAWING 3	TOTAL CLAIMS 47	INDEPENDENT CLAIMS 5
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ADDRESS  
 22907  
 BANNER & WITCOFF  
 1001 G STREET N W  
 SUITE 1100  
 WASHINGTON , DC  
 20001

TITLE  
 Message transfer from a source device via a mobile terminal device to a third device

FILING FEE  RECEIVED 1356	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue )
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**Index of Claims**

Application/Control No.

09/941,851

Examiner

Gerald Gauthier

Applicant(s)/Patent under  
Reexamination

LARIKKA ET AL.

Art Unit

2614

✓	Rejected
=	Allowed

—	(Through numeral) Cancelled
÷	Restricted

N	Non-Elected
I	Interference

A	Appeal
O	Objected

Claim		Date							
Final	Original	12/3/04	7/11/05	12/18/05	5/18/06				
	1	✓	✓	✓	✓				
	2	✓	✓	✓	✓				
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of (first named inventor):	Atty. Docket No.: 004770.00538
<b>Tapani Larikka</b>	
Serial No.: 09/941,851	Group Art Unit: 2614
Filed: August 30, 2001	Examiner: Gauthier, Gerald
For: Message Transfer From A Source Device Via A Mobile Terminal Device To A Third Device	Confirmation No.: 7553

**AMENDMENT AND REQUEST FOR RECONSIDERATION**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In response to the Office Action mailed May 30, 2006, Applicants respectfully submit the following:

**Amendments to the Claims** are reflected in the Listing of Claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 9 of this paper.

If any fees are required or if an overpayment is made, the Commissioner is authorized to debit or credit our Deposit Account No. 19-0733, accordingly.

Appln. No.: 09/941,851  
Amendment dated September 7, 2006  
Reply to Office Action of May 30, 2006

This listing of claims will replace all prior versions, and listing, of claims in the application:

**Listing of Claims:**

1. (Previously Presented) A method for data synchronization between a first terminal device and a second, remotely located, terminal device via an intermediate terminal device, the method comprising:

obtaining, at the first terminal device, data to be synchronized with the second remotely located terminal device;

transmitting the data from the first terminal device to the intermediate terminal device through a short-range connection;

formatting the data to be synchronized into at least one SMS (Short Message Service) message in the intermediate terminal device; and

transmitting the at least one SMS message from the intermediate terminal device to the second remote located terminal device through cellular network connection.

2. (Original) The method of claim 1, wherein formatting the data message comprises formatting the data in a SyncML format.

3. (Original) The method of claim 1, wherein the intermediate terminal device comprises a mobile terminal device.

4. (Original) The method of claim 3, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center.

5. (Previously Presented) The method of claim 4, wherein the at least one SMS message is transmitted from the intermediate terminal device to the second, remotely located, terminal device via the mobile network and a gateway and the Internet.

6. (Original) The method of claim 1, wherein the at least one SMS message comprises a compressed SMS message.

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7. (Original) The method of claim 6, wherein the compressed SMS message comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.

8. (Original) The method of claim 2, wherein the data formatted in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.

9. (Original) The method of claim 8, wherein the two MIME formats comprise vcal and vcard formats.

10. (Original) The method of claim 1, wherein the data message comprises one of a calendar, a to-do list, personal information, and contact information.

11. (Original) The method of claim 1, wherein the data message is transferred from the first terminal device to the intermediate terminal device via a short range communication link.

12. (Original) The method of claim 11, wherein the short range communication link comprises one of an IR (Infrared) or Bluetooth communication link.

13. (Previously Presented) A mobile terminal device comprising:  
a data message receiver to receive data from a first terminal device, which data is to be synchronized with a second, remotely located, terminal device via a short range communication link;

a formatter to format the received data into at least one SMS (Short Message Service) message; and

a transmitter to transmit the at least one SMS message to the second, remotely located, terminal device through a cellular network connection.

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14. (Original) The device of claim 13, wherein the formatter formats the data in a SyncML format.

15. (Original) The device of claim 13, wherein the at least one SMS message formatted by the formatter comprises a compressed SMS message.

16. (Original) The device of claim 15, wherein the compressed SMS message formatted by the formatter comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.

17. (Original) The device of claim 13, wherein the data formatted by the formatter in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) formats.

18. (Original) The device of claim 17, wherein the two MIME formats formatted by the formatter comprise vcal and vcard.

19. (Original) The device of claim 13, wherein the data comprises one of a calendar, a to-do list, a personal information, and contact information.

20. (Original) The device of claim 13, wherein the data receiver receives data messages via one of an IR (Infrared) or Bluetooth communication link.

21. (Previously Presented) A program storage device, readable by machine, tangibly embodying a program of instructions executable by machine to perform a method of synchronization between a first terminal device and a second, remotely located, terminal device via an intermediate terminal device, the method comprising:

obtaining, at the first terminal device, data to be synchronized with the second remote located terminal device;



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transmitting the data from the first terminal device to the intermediate terminal device through a short-range connection;

formatting the data to be synchronized into at least one SMS (Short Message Service) message in the intermediate terminal device; and

transmitting the at least one SMS message from the intermediate terminal device to the second remote located terminal device through a cellular network connection.

22. (Original) The device of claim 21, wherein formatting the data message comprises formatting the data in a SyncML format.

23. (Original) The device of claim 21, wherein the intermediate terminal device comprises a mobile terminal device.

24. (Original) The device of claim 23, wherein the at least one SMS message is transmitted via a mobile network including an SMS message center.

25. (Previously Presented) The device of claim 24, wherein the at least one SMS message is transmitted from the intermediate terminal device to the second remotely located terminal device via the mobile network and a gateway and the Internet.

26. (Original) The device of claim 21, wherein the at least one SMS message comprises a compressed SMS message.

27. (Original) The device of claim 26, wherein the compressed SMS message comprises a WBXML (Wireless Application Protocol Binary Extensible Markup Language) encoded message.

28. (Original) The device of claim 22, wherein the data formatted in a SyncML format comprises one of two MIME (Multipurpose Internet Mail Extensions) format.

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29. (Original) The device of claim 28, wherein the two MIME formats comprise vcal and vcard formats.

30. (Original) The device of claim 21, wherein the data message comprises one of a calendar, a to-do list, personal information, and contact information.

31. (Original) The device of claim 21, wherein the data message is transferred from the first terminal device to the intermediate terminal device via a short range communication link.

32. (Original) The device of claim 31, wherein the short range communication link comprises one of an IR (Infrared) or Bluetooth communication link.

33. (Previously Presented) A method of data synchronization between a first terminal device and a, remote located, second terminal device via an intermediate terminal device, the method comprising:

obtaining, at the first terminal device, data to be synchronized with the second remotely located terminal device;

transmitting the data from the first terminal device to the intermediate terminal device through a short-range connection;

formatting the data into at least one SMS (Short Message Service) message in the intermediate terminal device;

transmitting the least one SMS message from the intermediate terminal device to a message center; and

transmitting the at least one message from the message center to the second remote located terminal device through a cellular network connection.

34. (Canceled).

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Amendment dated September 7, 2006  
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35. (Currently Amended) The method of ~~claim 34~~claim 33, wherein the message center comprises an SMS message center.

36. (Original) The method of claim 33, wherein the data is transmitted from the first terminal device to the intermediate terminal device via a short range communication link.

37. (Currently Amended) The method of ~~claim 34~~claim 36, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.

38. (Previously Presented) A program storage device, readable by machine, tangibly embodying a program of instructions executable by machine to perform a method of data synchronization between first and second remote locate terminal devices via an intermediate terminal device, the method comprising:

obtaining, at the first terminal device, data to be synchronized with the second remotely located terminal device;

transmitting the data from the first terminal device to the intermediate terminal device through short-range connection;

formatting the data into at least one SMS (Short Message Service) message in the intermediate terminal device;

transmitting the least one message from the intermediate terminal device to a message center; and

transmitting the at least one message from the message center to the second remotely located terminal device through a cellular or network connection.

39. (Canceled).

40. (Original) The device of claim 38, wherein the message center comprises an SMS message center.

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41. (Original) The device of claim 38, wherein the data is transmitted from the first terminal device to the intermediate terminal device via a short range communication link.

42. (Currently Amended) The device of ~~claim 39~~claim 41, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.

43. (Original) The method of claim 1, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.

44. (Original) The method of claim 13, further comprising a receiver to receive at least one other SMS message from the another terminal device and a data message transmitter to transmit the at least one other SMS message to the first terminal device.

45. (Original) The device of claim 21, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.

46. (Original) The method of claim 33, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

47. (Original) The device of claim 38, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

Appln. No.: 09/941,851  
Amendment dated September 7, 2006  
Reply to Office Action of May 30, 2006

### **REMARKS/ARGUMENTS**

The Office Action of May 30, 2006, has been carefully reviewed and these remarks are responsive thereto. Claims 35, 37 and 42 have been amended. No new matter has been added. Claims 1-33, 35-38, and 40-47 remain pending after entry of the present amendment. Reconsideration and allowance of the instant application are respectfully requested.

#### ***Claim Objections***

Claims 35, 37 and 42 are objected to because of claim dependency informalities. Applicants have amended claims 35, 37 and 42 to revise the claim dependencies. As such, these objections are rendered moot.

#### ***Statement of Common Ownership***

The present application and the Lewontin reference (U.S. Patent Pub. No. 2002/0087596 A1) were, at the time the invention was made, owned by, or subject to an obligation of assignment to Nokia Corporation or a wholly owned subsidiary thereof (i.e., Nokia Inc.).

#### ***Rejections Under 35 U.S.C. § 103***

Claims 1-7, 11-16, 21-27, 31-33, 36-38 and 41-47 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kobayashi (U.S. Pat. No. 6,633,759) in view of Lewontin. Claims 4, 5, 24, 25, 35 and 40 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Kobayashi in view of Lewontin and further in view of Lohtia *et al.* (U.S. Patent No. 6,560,456, hereinafter “Lohtia”). Claims 6, 15 and 26 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Kobayashi in combination with Lewontin and further in view of Alanara *et al.* (U.S. Patent No. 6,097,961, hereinafter “Alanara”). Claims 8-10, 17-19 and 28-30 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Kobayashi in combination with Lewontin and further in view of Winarski (U.S. Patent Pub. No. 2002/0123307). Claims 10, 19 and 30 stand rejected under 35 U.S.C. §103(a) as being unpatentable over Kobayashi in combination with Lewontin. The above Statement of Common Ownership removes Lewontin as an applicable reference for a rejection under 35 U.S.C. §103(a), and these rejections are therefore respectfully traversed for at least this reason.

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 Amendment dated September 7, 2006  
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Further, as Applicants have discussed in previous responses, there is no motivation to combine Kobayashi with SMS messaging services such as those described in Lohtia, Alanara and Winarski. Kobayashi describes a short range connection between a mobile telephone and a PC that requires establishing a dedicated and continuous wireless link between the mobile telephone and the PC. Col. 2, ll. 31-40. SMS messaging, on the other hand, is a store and forward service, wherein the short messages are not sent directly from the sender to the recipient via a continuous data connection. Applicants' Specification, ¶¶ [0007] & [0023]. As such, using SMS messaging with Kobayashi would render Kobayashi unsatisfactory for its intended purpose. In particular, Kobayashi relies upon a continuous direct wireless link to allow manipulation of software on one device by another and for the transmission of data (e.g., screen data as the result of a job) in *real time*. Col. 8, ll. 64-67; Col. 12, ll. 27-32; Col. 13, ll. 14-17. As such, using a SMS service, whereby the messages are stored and forwarded with no establishment of a dedicated wireless link, would hinder the real time manipulation and transmission methods of Kobayashi. In other words, SMS service would not guarantee real time service, which, as evidenced above, is a critical component of Kobayashi. Significantly, the Federal Circuit has clearly held that if a proposed modification would render the prior art invention being modified unsatisfactory for its intended purpose, then there is no suggestion or motivation to make the proposed modification. *In re Gordon*, 221 U.S.P.Q. 1125 (Fed. Cir. 1984). Thus, there is no motivation or suggestion to make the proposed modification.

In addition, independent claims 1, 13, 21 and 33 disclose transmitting the SMS message from a message center to a second terminal device through a *cellular network connection*. Contrary to the Office Action's assertions, Kobayashi does not teach or suggest transmitting data over a cellular network connection. At most, Kobayashi discloses that a device may be a cellular phone. Col. 14, ll. 7-11. In particular, Kobayashi specifically teaches transmitting data over a *short-range wireless* communication link using the cellular phone, not a cellular network connection. Kobayashi even emphasizes the short-range wireless communication requirement by disclosing, at Col. 14, ll. 26-32, that data can be transmitted/received among more than two devices "*if the device is provided with a similar wireless communication function (a wireless LAN or Bluetooth System in 2.45 Ghz).*" (emphasis added). Bluetooth and wireless LAN's are both known to be short-range wireless communication protocols. As such, not only does

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Amendment dated September 7, 2006  
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Kobayashi not teach or suggest transmitting data over a cellular network connection, Kobayashi, in fact, teaches away from using cellular network connections to transmit data such as an SMS message. As such, independent claims 1, 13, 21 and 33 are allowable for these additional reasons.

Claims 3, 11, 12, 20, 23, 31, 32, 36, 37, 41, 42, and 43-47 are dependent on their respective base independent claims and are thus allowable for at least the same reasons as those claims and further in view of the novel and non-obvious features recited therein.

Applicants reserve the right to further attack the motivation to combine any or all of the cited references, but believe this is not necessary at this time based on the above-noted features lacking from each reference.

### **CONCLUSION**

All rejections having been addressed, Applicants respectfully submit that the instant application is in condition for allowance, and respectfully solicit prompt notification of the same. However, if for any reason the Examiner believes the application is not in condition for allowance or there are any questions, the Examiner is requested to contact the undersigned at (202) 824-3156.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated this 7th day of September, 2006

By: /Chunhsi Andy Mu/  
Chunhsi Andy Mu, Registration No. 58,216  
1001 G Street, N.W.  
Washington, D.C. 20001-4597  
Tel: (202) 824-3000  
Fax: (202) 824-3001

<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b> <b>FY 2005</b> <b>(fees effective on or after December 8, 2004)</b>		Docket Number (Optional) 004770.00538																								
Application Number 09/941,851		Filed August 30, 2001																								
For Message Transfer From A Source Device Via A Mobile Terminal Device To A Third Device																										
Art Unit 2614		Examiner Gerald GAUTHIER																								
<p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.</p> <p>The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):</p> <table style="width: 100%; margin-top: 10px;"> <thead> <tr> <th style="text-align: left;"></th> <th style="text-align: center;"><u>Fee</u></th> <th style="text-align: center;"><u>Small Entity Fee</u></th> <th style="text-align: center;"></th> </tr> </thead> <tbody> <tr> <td><input checked="" type="checkbox"/> One month (37 CFR 1.17(a)(1))</td> <td style="text-align: center;">\$120</td> <td style="text-align: center;">\$60</td> <td style="text-align: center;">\$<u>120</u></td> </tr> <tr> <td><input type="checkbox"/> Two months (37 CFR 1.17(a)(2))</td> <td style="text-align: center;">\$450</td> <td style="text-align: center;">\$225</td> <td style="text-align: center;">\$_____</td> </tr> <tr> <td><input type="checkbox"/> Three months (37 CFR 1.17(a)(3))</td> <td style="text-align: center;">\$1020</td> <td style="text-align: center;">\$510</td> <td style="text-align: center;">\$_____</td> </tr> <tr> <td><input type="checkbox"/> Four months (37 CFR 1.17(a)(4))</td> <td style="text-align: center;">\$1590</td> <td style="text-align: center;">\$795</td> <td style="text-align: center;">\$_____</td> </tr> <tr> <td><input type="checkbox"/> Five months (37 CFR 1.17(a)(5))</td> <td style="text-align: center;">\$2160</td> <td style="text-align: center;">\$1080</td> <td style="text-align: center;">\$_____</td> </tr> </tbody> </table> <p style="margin-top: 10px;"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><input type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number <u>19-0733</u>. I have enclosed a duplicate copy of this sheet.</p> <p style="margin-top: 10px;"><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p> <p style="margin-top: 10px;">I am the <input type="checkbox"/> applicant/inventor.</p> <p><input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71</p> <p style="margin-left: 40px;">Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).</p> <p><input checked="" type="checkbox"/> attorney or agent of record. Registration Number <u>58,216</u></p> <p><input type="checkbox"/> attorney or agent under 37 CFR 1.34.</p> <p style="margin-left: 40px;">Registration number if acting under 37 CFR 1.34. _____.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <p style="text-align: center;">/Chunhsi Andy Mu/</p> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <p style="text-align: center;">Signature</p> <p style="text-align: center;">Chunhsi Andy Mu</p> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <p style="text-align: center;">Typed or printed name</p> </div> <div style="width: 45%; text-align: center;"> <p>September 7, 2006</p> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <p>Date</p> <p>(202) 824-3156</p> <hr style="border: 0; border-top: 1px solid black; margin: 5px 0;"/> <p>Telephone Number</p> </div> </div> <p style="margin-top: 10px;">NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.</p> <p><input checked="" type="checkbox"/> Total of <u>1</u> forms are submitted.</p>				<u>Fee</u>	<u>Small Entity Fee</u>		<input checked="" type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$120	\$60	\$ <u>120</u>	<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$450	\$225	\$_____	<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$1020	\$510	\$_____	<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1590	\$795	\$_____	<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2160	\$1080	\$_____
	<u>Fee</u>	<u>Small Entity Fee</u>																								
<input checked="" type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$120	\$60	\$ <u>120</u>																							
<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$450	\$225	\$_____																							
<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$1020	\$510	\$_____																							
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1590	\$795	\$_____																							
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2160	\$1080	\$_____																							

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>	09941851			
<b>Filing Date:</b>	30-Aug-2001			
<b>Title of Invention:</b>	Message transfer from a source device via a mobile terminal device to a third device			
<b>First Named Inventor:</b>	Tapani Lariikka			
<b>Filer:</b>	Chunhsi Andy Mu/JANNA ASHTON			
<b>Attorney Docket Number:</b>	017.40169X00			
Filed as Large Entity				
<b>Utility      Filing Fees</b>				
<b>Description</b>	<b>Fee Code</b>	<b>Quantity</b>	<b>Amount</b>	<b>Sub-Total in USD(\$)</b>
<b>Basic Filing:</b>				
<b>Pages:</b>				
<b>Claims:</b>				
<b>Miscellaneous-Filing:</b>				
<b>Petition:</b>				
<b>Patent-Appeals-and-Interference:</b>				
Post-Allowance-and-Post-Issuance:				
<b>Extension-of-Time:</b>				
Extension - 1 month with \$0 paid	1251	1	120	120

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Total in USD (\$)				120

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	1189108
<b>Application Number:</b>	09941851
<b>Confirmation Number:</b>	7553
<b>Title of Invention:</b>	Message transfer from a source device via a mobile terminal device to a third device
<b>First Named Inventor:</b>	Tapani Larikka
<b>Customer Number:</b>	22907
<b>Filer:</b>	Chunhsi Andy Mu/JANNA ASHTON
<b>Filer Authorized By:</b>	Chunhsi Andy Mu
<b>Attorney Docket Number:</b>	017.40169X00
<b>Receipt Date:</b>	07-SEP-2006
<b>Filing Date:</b>	30-AUG-2001
<b>Time Stamp:</b>	10:38:19
<b>Application Type:</b>	Utility
<b>International Application Number:</b>	

### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 120
RAM confirmation Number	789
Deposit Account	190733
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17	

### File Listing:

Case 6:20-cv-00487-ADA Document 69-13 Filed 04/09/21 Page 172 of 208

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Amendment - After Non-Final Rejection	00477000538amendreqreconsideration.pdf	147652	no	11
Warnings:					
Information:					
2	Extension of Time	00477000538Petitionforextensionoftime.pdf	87916	no	1
Warnings:					
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	8173	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			243741		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					

Application or Docket Number

017.40169X00

## PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2000

## CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

TOTAL CLAIMS	47	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	47 minus 20 =	27
INDEPENDENT CLAIMS	5 minus 3 =	2
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

SMALL ENTITY  
TYPE ☐OR OTHER THAN  
SMALL ENTITY

RATE	FEE
BASIC FEE	355.00
X\$ 9=	
X40=	
+135=	
TOTAL	

RATE	FEE
BASIC FEE	710.00
X\$18=	486
X80=	180
+270=	
TOTAL	1356

\* If the difference in column 1 is less than zero, enter "0" in column 2

## CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	47	Minus	47 =
	Independent	5	Minus	5 =
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

SMALL ENTITY

OR OTHER THAN  
SMALL ENTITY

RATE	ADDI-TIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL	

RATE	ADDI-TIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL	

TOTAL  
ADDIT. FEETOTAL  
ADDIT. FEE

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	45	Minus	47 =
	Independent	5	Minus	5 =
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDI-TIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL	

RATE	ADDI-TIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL	

TOTAL  
ADDIT. FEETOTAL  
ADDIT. FEE

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total		Minus	=
	Independent		Minus	=
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDI-TIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL	

RATE	ADDI-TIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL	

TOTAL  
ADDIT. FEETOTAL  
ADDIT. FEE

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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**EAST Search History**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	0	format\$3 with data with synchron\$7 with (SMS Short adj Message adj Service) with intermediate with device with transmit\$4 with second with device with (cellular adj network).clm.	US-PGPUB	OR	ON	2006/11/10 06:54



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

22907 7590 12/05/2006

BANNER & WITCOFF  
1001 G STREET N W  
SUITE 1100  
WASHINGTON, DC 20001

EXAMINER

GAUTHIER, GERALD

ART UNIT

PAPER NUMBER

2614

DATE MAILED: 12/05/2006

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/941,851

08/30/2001

Tapani Larikka

017.40169X00

7553

TITLE OF INVENTION: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
-------------	--------------	---------------	---------------------	----------------------	------------------	----------

nonprovisional

NO

\$1400

\$0

\$0

\$1400

03/05/2007

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

## HOW TO REPLY TO THIS NOTICE:

## I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER:** Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
 or **Fax** (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

22907 7590 12/05/2006

**BANNER & WITCOFF**  
**1001 G STREET N W**  
**SUITE 1100**  
**WASHINGTON, DC 20001**

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	08/30/2001	Tapani Larikka	017.40169X00	7553

TITLE OF INVENTION: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$0	\$1400	03/05/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
GAUTHIER, GERALD	2614	455-466000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1	_____
2	_____
3	_____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

Date \_\_\_\_\_

Typed or printed name \_\_\_\_\_

Registration No. \_\_\_\_\_

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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 United States Patent and Trademark Office  
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	08/30/2001	Tapani Larikka	017.40169X00	7553

22907 7590 12/05/2006

BANNER & WITCOFF  
 1001 G STREET N W  
 SUITE 1100  
 WASHINGTON, DC 20001

EXAMINER

GAUTHIER, GERALD

ART UNIT

PAPER NUMBER

2614

DATE MAILED: 12/05/2006

**Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**  
 (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 668 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 668 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

<b>Notice of Allowability</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/941,851	LARIKKA ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Gerald Gauthier	2614	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 9/7/2006 Amendment.
2. ☒ The allowed claim(s) is/are 1-33,35-38 and 40-47.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All    b) ☐ Some\*    c) ☐ None    of the:
  1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

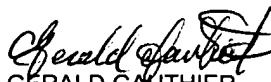
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
  - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

- |  |  |
|--|--|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892)   | 5. <input type="checkbox"/> Notice of Informal Patent Application                      |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 6. <input type="checkbox"/> Interview Summary (PTO-413),<br>Paper No./Mail Date _____. |
| 3. <input type="checkbox"/> Information Disclosure Statements (PTO/SB/08),<br>Paper No./Mail Date _____    | 7. <input type="checkbox"/> Examiner's Amendment/Comment                               |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material | 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance   |
|  | 9. <input type="checkbox"/> Other _____.   |

  
 GERALD GAUTHIER  
 PRIMARY EXAMINER  
 ART UNIT 2614

Application/Control Number: 09/941,851  
Art Unit: 2614

Page 2

### **DETAILED ACTION**

#### ***Allowable***

1. **Claim(s) 1-33, 35-38 and 40-47** are allowed.

2. The following is an examiner's statement of reasons for allowance:

Regarding **claim(s) 1, 13, 21, and 38**, in combination with other limitations of the claims, the prior art of record fails to disclose or specifically suggested formatting a data to be synchronized into at least one SMS message in an intermediate terminal device and transmitting the at least one SMS message from the intermediate terminal device to a second remote located terminal device through cellular network connection.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### ***Conclusion***


3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gerald Gauthier whose telephone number is (571) 272-7539. The examiner can normally be reached on 8:00 AM to 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fan Tsang can be reached on (571) 272-7547. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.


Application/Control Number: 09/941,851  
Art Unit: 2614

Page 3

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.


  
Gerald Gauthier  
Primary Examiner  
Art Unit 2614

GG  
November 10, 2006

<b><i>Index of Claims</i></b>  	<b>Application/Control No.</b>  09941851	<b>Applicant(s)/Patent Under Reexamination</b>  LARIKKA ET AL.
	<b>Examiner</b>  Gauthier, Gerald	<b>Art Unit</b>  2614

✓	<b>Rejected</b>	-	<b>Cancelled</b>	N	<b>Non-Elected</b>	A	<b>Appeal</b>
=	<b>Allowed</b>	÷	<b>Restricted</b>	I	<b>Interference</b>	O	<b>Objected</b>

<input type="checkbox"/> Claims renumbered in the same order as presented by applicant		<input type="checkbox"/> CPA		<input type="checkbox"/> T.D.		<input type="checkbox"/> R.1.47			
CLAIM		DATE							
Final	Original	12/03/2004	07/11/2005	12/18/2005	05/18/2006	11/10/2006			
1	1	✓	✓	✓	✓	=			
2	2	✓	✓	O	✓	=			
3	3	✓	✓	✓	✓	=			
4	4	✓	✓	✓	✓	=			
5	5	✓	✓	✓	✓	=			
6	6	✓	✓	✓	✓	=			
7	7	✓	✓	O	✓	=			
8	8	✓	✓	O	✓	=			
9	9	✓	✓	O	✓	=			
10	10	✓	✓	✓	✓	=			
11	11	✓	✓	✓	✓	=			
12	12	✓	✓	✓	✓	=			
14	13	✓	✓	✓	✓	=			
15	14	✓	✓	O	✓	=			
16	15	✓	✓	✓	✓	=			
17	16	✓	✓	O	✓	=			
18	17	✓	✓	O	✓	=			
19	18	✓	✓	O	✓	=			
20	19	✓	✓	✓	✓	=			
21	20	✓	✓	✓	✓	=			
23	21	✓	✓	✓	✓	=			
24	22	✓	✓	O	✓	=			
25	23	✓	✓	✓	✓	=			
26	24	✓	✓	✓	✓	=			
27	25	✓	✓	✓	✓	=			
28	26	✓	✓	✓	✓	=			
29	27	✓	✓	O	✓	=			
30	28	✓	✓	O	✓	=			
31	29	✓	✓	O	✓	=			
32	30	✓	✓	✓	✓	=			
33	31	✓	✓	✓	✓	=			
34	32	✓	✓	✓	✓	=			
36	33	✓	✓	✓	✓	=			
	34	✓	-	-	-	-			
37	35	✓	✓	✓	✓	=			
38	36	✓	✓	✓	✓	=			

<b><i>Index of Claims</i></b>  	<b>Application/Control No.</b>  09941851	<b>Applicant(s)/Patent Under Reexamination</b>  LARIKKA ET AL.
	<b>Examiner</b>  Gauthier, Gerald	<b>Art Unit</b>  2614

✓	<b>Rejected</b>
=	<b>Allowed</b>


-	<b>Cancelled</b>
÷	<b>Restricted</b>

N	<b>Non-Elected</b>
I	<b>Interference</b>

A	<b>Appeal</b>
O	<b>Objected</b>

<input type="checkbox"/> Claims renumbered in the same order as presented by applicant		<input type="checkbox"/> CPA		<input type="checkbox"/> T.D.		<input type="checkbox"/> R.1.47			
CLAIM		DATE							
Final	Original	12/03/2004	07/11/2005	12/18/2005	05/18/2006	11/10/2006			
39	37	✓	✓	✓	✓	=			
41	38	✓	✓	✓	✓	=			
	39	✓	-	-	-	-			
42	40	✓	✓	✓	✓	=			
43	41	✓	✓	✓	✓	=			
44	42	✓	✓	✓	✓	=			
13	43	✓	✓	✓	✓	=			
22	44	✓	✓	✓	✓	=			
35	45	✓	✓	✓	✓	=			
40	46	✓	✓	✓	✓	=			
45	47	✓	✓	✓	✓	=			



<b>Search Notes</b>  	<b>Application/Control No.</b>  0994185109941851	<b>Applicant(s)/Patent Under Reexamination</b>  LARIKKA ET AL.LARIKKA ET AL.
	<b>Examiner</b>  Gauthier, Gerald Gauthier, Gerald	<b>Art Unit</b>  26142614

### SEARCHED

Class	Subclass	Date	Examiner
455	41, 414.1, 419, 445, 466, 558	11/10/2006	GG
705	51	11/10/2006	GG
707	10	11/10/2006	GG
715	513	11/10/2006	GG

### SEARCH NOTES

Search Notes	Date	Examiner
EAST (US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB)	11/10/2006	GG

### INTERFERENCE SEARCH

Class	Subclass	Date	Examiner
455	41, 414.1, 419, 445, 466, 558	11/10/2006	GG
705	51	11/10/2006	GG
707	10	11/10/2006	GG
715	513	11/10/2006	GG
	INTERFERENCE HISTORY SEARCH (see attached)	11/10/2006	GG





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Bib Data Sheet

CONFIRMATION NO. 7553

<b>SERIAL NUMBER</b> 09/941,851	<b>FILING OR 371(c) DATE</b> 08/30/2001 <b>RULE</b>	<b>CLASS</b> 455	<b>GROUP ART UNIT</b> 2614	<b>ATTORNEY DOCKET NO.</b> 017.40169X00
<b>APPLICANTS</b> Tapani Larikka, Vesilahti, FINLAND; Jari Juopperi, Helsinki, FINLAND; <b>** CONTINUING DATA **</b> <i>NONE GG</i> <b>** FOREIGN APPLICATIONS **</b> <i>NONE GG</i> <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 10/01/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met <input type="checkbox"/> Allowance Verified and <i>Adelbert Spaulding</i> <i>GG</i> Acknowledged Examiner's Signature Initials		<b>STATE OR COUNTRY</b> FINLAND	<b>SHEETS DRAWING</b> 3	<b>TOTAL CLAIMS</b> 47
			<b>INDEPENDENT CLAIMS</b> 5	
<b>ADDRESS</b> 22907				
<b>TITLE</b> Message transfer from a source device via a mobile terminal device to a third device				
<b>FILING FEE RECEIVED</b> 1356	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

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Complete and send this form, together with applicable fee(s), to: **Mail** **Mail Stop ISSUE FEE**  
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22907 7590 12/05/2006

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**1001 G STREET N W**  
**SUITE 1100**  
**WASHINGTON, DC 20001**

**Certificate of Mailing or Transmission**

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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

09/941,851 08/30/2001 Tapani Larikka 017.40169X00 7553

TITLE OF INVENTION: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional NO \$1400 \$0 \$0 \$1400 03/05/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
----------	----------	----------------

GAUTHIER, GERALD 2614 455-466000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Banner & Witcoff, LTD.

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Nokia Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Espoo, Finland

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies

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- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 19-0733 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature /Chunhsi Andy Mu/

Date 3/5/2007

Typed or printed name Chunhsi Andy Mu

Registration No. 58,216

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>	09941851			
<b>Filing Date:</b>	30-Aug-2001			
<b>Title of Invention:</b>	MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE			
First Named Inventor/Applicant Name:	Tapani Larikka			
<b>Filer:</b>	Chunhsi Andy Mu/Allison Anderson			
<b>Attorney Docket Number:</b>	017.40169X00			
Filed as Large Entity				
<b>Utility      Filing Fees</b>				
<b>Description</b>	<b>Fee Code</b>	<b>Quantity</b>	<b>Amount</b>	<b>Sub-Total in USD(\$)</b>
<b>Basic Filing:</b>				
<b>Pages:</b>				
<b>Claims:</b>				
<b>Miscellaneous-Filing:</b>				
<b>Petition:</b>				
<b>Patent-Appeals-and-Interference:</b>				
Post-Allowance-and-Post-Issuance:				
Utility Appl issue fee	1501	1	1400	1400
<b>Extension-of-Time:</b>				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Total in USD (\$)				1400

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	1563785
<b>Application Number:</b>	09941851
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7553
<b>Title of Invention:</b>	MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE
<b>First Named Inventor/Applicant Name:</b>	Tapani Larikka
<b>Customer Number:</b>	22907
<b>Filer:</b>	Chunhsi Andy Mu/Allison Anderson
<b>Filer Authorized By:</b>	Chunhsi Andy Mu
<b>Attorney Docket Number:</b>	017.40169X00
<b>Receipt Date:</b>	05-MAR-2007
<b>Filing Date:</b>	30-AUG-2001
<b>Time Stamp:</b>	14:16:22
<b>Application Type:</b>	Utility

### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 1400
RAM confirmation Number	1897
Deposit Account	190733
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	004770-00538-IssueFee.pdf	347633	no	1

**Warnings:****Information:**

2	Fee Worksheet (PTO-06)	fee-info.pdf	8190	no	2
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**Warnings:****Information:**

<b>Total Files Size (in bytes):</b>			355823		
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
**or Fax (571)-273-2885**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including late fees, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

22907 7590 12/05/2006

**BANNER & WITCOFF**  
**1001 G STREET N W**  
**SUITE 1100**  
**WASHINGTON, DC 20001**



**Certificate of Mailing or Transmission**  
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	08/30/2001	Tapani Larikka	017.40169X00	7553

**TITLE OF INVENTION: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE**

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$0	\$1400	03/05/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
GAUTHIER, GERALD	2614	455-466000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Banner & Witcoff, LTD.

2 \_\_\_\_\_

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Nokia Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Espoo, Finland

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee

☐ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

☐ A check is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 19-0733 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature /Chunhsi Andy Mu/

Date 3/5/2007

Typed or printed name Chunhsi Andy Mu

Registration No. 58,216

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
**United States Patent and Trademark Office**  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/941,851	04/10/2007	7203505	017.40169X00	7553

22907 7590 03/21/2007

BANNER & WITCOFF, LTD.  
 1100 13th STREET, N.W.  
 SUITE 1200  
 WASHINGTON, DC 20005-4051

**ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

**Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**  
 (application filed on or after May 29, 2000)

The Patent Term Adjustment is 843 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site <http://pair.uspto.gov> for additional applicants):

Tapani Larikka, Vesilahti, FINLAND;  
 Jari Juopperi, Helsinki, FINLAND;





gjc

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
In re U.S. Patent No. 7,203,505 ) Serial No. 09/941,851  
Inventor(s): Tapani Larikka et al. ) Filed: August 30, 2001  
Issue Date: April 10, 2007 ) Attorney Docket No. 004770.00538

For: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE  
TO A THIRD DEVICE

**REQUEST FOR CERTIFICATE OF CORRECTION**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building, Mail Stop: Certificate of Correction Branch  
401 Dulany Street  
Alexandria, VA 22314

**Certificate**  
**AUG 15 2007**  
**of Correction**

Sir:

Pursuant to 35 U.S.C. § 254 and 37 C.F.R. § 1.322, this is a request for the issuance of a Certificate of Correction in the above-identified patent. Two (2) copies of PTO Form 1050 are appended. The complete Certificate of Correction involves 1 page.

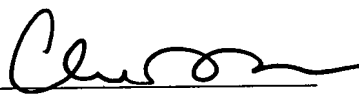
The mistake identified in the appended Form occurred through no fault of the Applicants, as clearly disclosed by the records of the application, which matured into this patent. Enclosed for your convenience are the relevant parts of the Amendment filed on September 7, 2006.

Issuance of the Certificate of Correction containing the correction is respectfully requested. Since this change is necessitated through no fault of the Applicants, no fee is believed to be associated with this request. Nonetheless, should the Patent and Trademark Office determine that a fee is required, please charge our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: August 13, 2007  
Banner & Witcoff, Ltd  
1100 13<sup>th</sup> Street, N.W., Suite 1200  
Washington, D.C. 20005-4051  
(202) 824-3000

By:   
C. Andy Mu  
Registration No. 58,216

**AUG 15 2007**

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO.: 7,203,505  
DATED: April 10, 2007  
INVENTOR(S): Tapani Larikka et al.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Claim 40, Column 7, Line 19:  
Please delete "Claim 31" and insert --Claim 36--

Mailing Address of Sender:

Banner & Witcoff, Ltd.  
11th Floor  
1001 G Street, N.W.  
Washington, DC 20001-4597

FORM PTO 1050 (Rev.2-93)

U.S. PAT. NO 7,203,505

No. of add'l copies  
@ \$0.50 per page

AUG 15 2007 ☐

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO.: 7,203,505  
DATED: April 10, 2007  
INVENTOR(S): Tapani Larikka et al.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Claim 40, Column 7, Line 19:  
Please delete "Claim 31" and insert --Claim 36--

Mailing Address of Sender:

Banner & Witcoff, Ltd.  
11th Floor  
1001 G Street, N.W.  
Washington, DC 20001-4597

FORM PTO 1050 (Rev.2-93)

U.S. PAT. NO 7,203,505

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☐

AUG 15 2007



## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	1189108
<b>Application Number:</b>	09941851
<b>Confirmation Number:</b>	7553
<b>Title of Invention:</b>	Message transfer from a source device via a mobile terminal device to a third device
<b>First Named Inventor:</b>	Tapani Larikka
<b>Customer Number:</b>	22907
<b>Filer:</b>	Chunhsi Andy Mu/JANNA ASHTON
<b>Filer Authorized By:</b>	Chunhsi Andy Mu
<b>Attorney Docket Number:</b>	017.40169X00
<b>Receipt Date:</b>	07-SEP-2006
<b>Filing Date:</b>	30-AUG-2001
<b>Time Stamp:</b>	10:38:19
<b>Application Type:</b>	Utility
<b>International Application Number:</b>	

### Payment information:

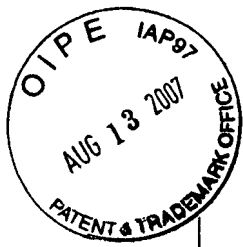
Submitted with Payment	yes	AUG 15 2007
Payment was successfully received in RAM	\$ 120	
RAM confirmation Number	789	
Deposit Account	190733	
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17		

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Amendment - After Non-Final Rejection	00477000538amendreqreconsideration.pdf	147652	no	11
<b>Warnings:</b> <b>Information:</b>					
2	Extension of Time	00477000538Petitionforextensionoftime.pdf	87916	no	1
<b>Warnings:</b> <b>Information:</b>					
3	Fee Worksheet (PTO-875)	fee-info.pdf	8173	no	2
<b>Warnings:</b> <b>Information:</b>					
Total Files Size (in bytes):			243741		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>          If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>          If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					

AUG 15 2007

AUG 15 2007



42. (Currently Amended) The device of ~~claim 39~~claim 41, wherein the short range communication link comprises one of either an IR (Infrared) or Bluetooth communication link.

43. (Original) The method of claim 1, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.

44. (Original) The method of claim 13, further comprising a receiver to receive at least one other SMS message from the another terminal device and a data message transmitter to transmit the at least one other SMS message to the first terminal device.

45. (Original) The device of claim 21, further comprising transmitting at least one other SMS message from the second terminal device to the first terminal device via the intermediate terminal device.

46. (Original) The method of ~~claim 33~~, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

47. (Original) The device of claim 38, further comprising transmitting other data from the second terminal device to the first terminal device via the intermediate terminal device.

AUG 15 2007

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 7,203,505 B1  
APPLICATION NO. : 09/941851  
DATED : April 10, 2007  
INVENTOR(S) : Tapani Larikka et al.

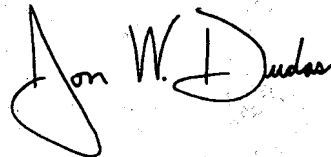
Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Claim 40, Column 7, Line 19:  
Please delete "Claim 31" and insert --Claim 36--

Signed and Sealed this

Twenty-third Day of October, 2007

A handwritten signature in black ink, appearing to read "Jon W. Dudas". The signature is stylized with a large, looped initial "J" and a distinct "D".

JON W. DUDAS  
*Director of the United States Patent and Trademark Office*



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	PATENT NUMBER	GROUP ART UNIT	FILE WRAPPER LOCATION
09/941,851	7203505	2614	9200



OC000000048472672

**Correspondence Address/Fee Address Change**

The following fields have been set to Customer Number 72165 on 11/17/2010

- Correspondence Address
- Power of Attorney Address

The address of record for Customer Number 72165 is:

72165  
BANNER & WITCOFF, LTD  
ATTORNEYS FOR CLIENT 004770  
1100 13TH STREET  
SUITE 1200  
WASHINGTON, DC 20005-4051



PTO/AIA/80 (07-17)

Approved for use through 01/31/2018. OM8 0851-0035  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c).

I hereby appoint:



Practitioners associated with Customer Number:

**151091****OR**

Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

Name	Registration Number

As attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(c).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(c) to:

The address associated with Customer Number: **151091****OR**

Firm or individual name:

Address

City

State

Zip

Country

Telephone

Email

Assignee name and address: WSOU Investments, LLC  
11150 Santa Monica Blvd., Suite 1400  
Los Angeles, CA 90025

A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of the practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed.

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee.

Signature

Date December 29, 2017

Name Stuart Shanus

Telephone 310-633-2327

Title President of WSOU Investments, LLC

This collection of information is required by 37 CFR 1.31, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public, which is to update (and by the USPTO to process) the file of a patent or reexamination proceeding. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 18 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(c)**Applicant/Patent Owner: WSOU Investments, LLCApplication No./Patent No.: 7,203,505 Filed/Issue Date: 04-10-2007Titled: MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE  
WSOU Investments, LLC, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.
2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):
- ☐ The extent (by percentage) of its ownership interest is \_\_\_\_\_. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Inventors To: NOKIA CORP.The document was recorded in the United States Patent and Trademark Office at  
Reel 012130, Frame 0651, or for which a copy thereof is attached.2. From: NOKIA CORPORATION To: NOKIA TECHNOLOGIES OYThe document was recorded in the United States Patent and Trademark Office at  
Reel 035601, Frame 0901, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(c)**3. From: NOKIA TECHNOLOGIES OY To: WSOU INVESTMENTS, LLCThe document was recorded in the United States Patent and Trademark Office at  
Reel 045084, Frame 0282, or for which a copy thereof is attached.

4. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
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5. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

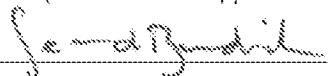
6. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet(s).☒ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature



Date

March 13, 2018Sean D. Burdick

Printed or Typed Name

51,513

Title or Registration Number

**Electronic Acknowledgement Receipt**

<b>EFS ID:</b>	32040996
<b>Application Number:</b>	09941851
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7553
<b>Title of Invention:</b>	MESSAGE TRANSFER FROM A SOURCE DEVICE VIA A MOBILE TERMINAL DEVICE TO A THIRD DEVICE
<b>First Named Inventor/Applicant Name:</b>	Tapani Larikka
<b>Customer Number:</b>	72165
<b>Filer:</b>	Sean Dylan Burdick/Kris Pangan
<b>Filer Authorized By:</b>	Sean Dylan Burdick
<b>Attorney Docket Number:</b>	017.40169X00
<b>Receipt Date:</b>	13-MAR-2018
<b>Filing Date:</b>	30-AUG-2001
<b>Time Stamp:</b>	16:51:49
<b>Application Type:</b>	Utility under 35 USC 111(a)

**Payment information:**

Submitted with Payment	no
------------------------	----

**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	09941851_POA.pdf	346864	no	1
			0ce241db9250e5283fa11147e0a418274937e5bd		

**Warnings:**

<b>Information:</b>					
2	Assignee showing of ownership per 37 CFR 3.73	09941851_Statement.pdf	248951	no	2
			cf771042fa7e440ea51ea0d84ed76574cb07d70		
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			595815		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  <b>If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</b></p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  <b>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</b></p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  <b>If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</b></p>					



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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/941,851	08/30/2001	Tapani Larikka	017.40169X00

CONFIRMATION NO. 7553

POA ACCEPTANCE LETTER

151091  
 WSOU Investments, LLC  
 11150 Santa Monica Blvd., Suite 1400  
 Los Angeles, CA 90025



\*OC000000098293655\*

Date Mailed: 03/26/2018

## NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/13/2018.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/tmwilliams/



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09/941,851	08/30/2001	Tapani Larikka	017.40169X00

CONFIRMATION NO. 7553

## POWER OF ATTORNEY NOTICE



\*OC000000098293654\*

72165  
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 SUITE 1200  
 WASHINGTON, DC 20005-4051

Date Mailed: 03/26/2018

## NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/13/2018.

- The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/tmwilliams/

AO 120 (Rev. 08/10)

<b>TO: Mail Stop 8</b> <b>Director of the U.S. Patent and Trademark Office</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b>	<b>REPORT ON THE</b> <b>FILING OR DETERMINATION OF AN</b> <b>ACTION REGARDING A PATENT OR</b> <b>TRADEMARK</b>
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been  
 filed in the U.S. District Court Western District of Texas on the following

☐ Trademarks or ☒ Patents. ( ☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:20-cv-497	DATE FILED 6/3/2020	U.S. DISTRICT COURT Western District of Texas
PLAINTIFF  WSOU INVESTMENTS, LLC d/b/a BRAZOS LICENSING AND DEVELOPMENT		DEFENDANT  ZTE CORPORATION, ZTE (USA) INC.; ZTE (TX), INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 U.S. 7,203,505	4/10/2000	WSOU Investments, LLC
2		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT
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CLERK	(BY) DEPUTY CLERK	DATE
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Copy 1—Upon initiation of action, mail this copy to Director    Copy 3—Upon termination of action, mail this copy to Director  
 Copy 2—Upon filing document adding patent(s), mail this copy to Director    Copy 4—Case file copy